

# ***Black Objects and Hoop Conjecture in Five-dimensional Space-time***

***Hisa-aki Shinkai  
(Osaka Institute of Technology, Japan)***

***work with Yuta Yamada (OIT)***

## **Initial Data**

**Yamada & HS, CQG 27 (2010) 045012**

## **Evolution**

**Yamada & HS, in preparation.**

<http://www.is.oit.ac.jp/~shinkai/>

GR19 @ Mexico City, July 2010

# 1. Motivation and Goal

*Higher-Dim Black Holes have Rich Structures*

*LHC experiments will (or will not) reveal Higher-Dim BHs in near future*

*Brane-World models give new viewpoints to gravity and cosmology*

4-dim BH : horizon is  $S^2$ ,  
stable solutions

Schwarzschild --- Birkoff theorem (M)

Kerr --- uniqueness theorem (M, J)

# 1. Motivation and Goal

*Higher-Dim Black Holes have Rich Structures*

4-dim BHs

Schwarzschild →

Kerr →

**"Black Objects"**

Higher-dim BHs :

Tangherlini

--- unique & stable

Myers-Perry

--- maybe unstable in higher J

black ring (Emparan-Reall)

black Saturn

di-rings, orthogonal di-rings, ...

# 1. Motivation and Goal

*Higher-Dim Black Holes have Rich Structures*

4-dim BHs

Schwarzschild →

Kerr →

Higher-dim BHs :

Tangherlini

--- unique & stable

Myers-Perry

--- maybe unstable in higher J

**"Black Objects"**

black ring (Emparan-Reall)

black Saturn

di-rings, orthogonal di-rings, ...



# 1. Motivation and Goal

*Higher-Dim Black Holes have Rich Structures*

## "Black Objects"

black hole  
black string  
black ring  
black Saturn  
di-rings, orthogonal di-rings ...

Uniqueness (only in spherical sym.)

Stability?

Formation Process?

Dynamical Features? ...

# 1. Motivation and Goal

## Higher-Dim Black Holes have Rich Structures

### "Black Objects"

black hole  
black string  
black ring  
black Saturn  
di-rings, orthogonal di-rings ...

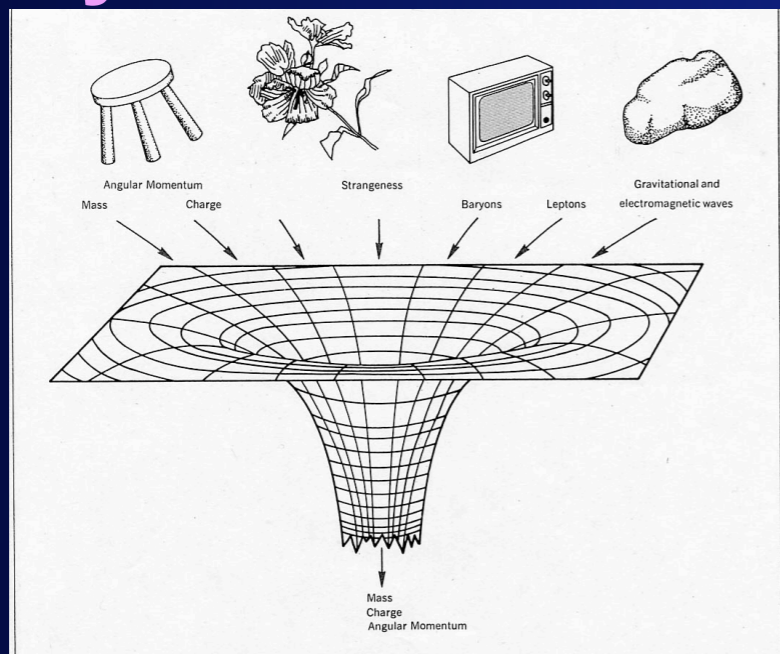
Uniqueness (only in spherical sym.)

Stability?

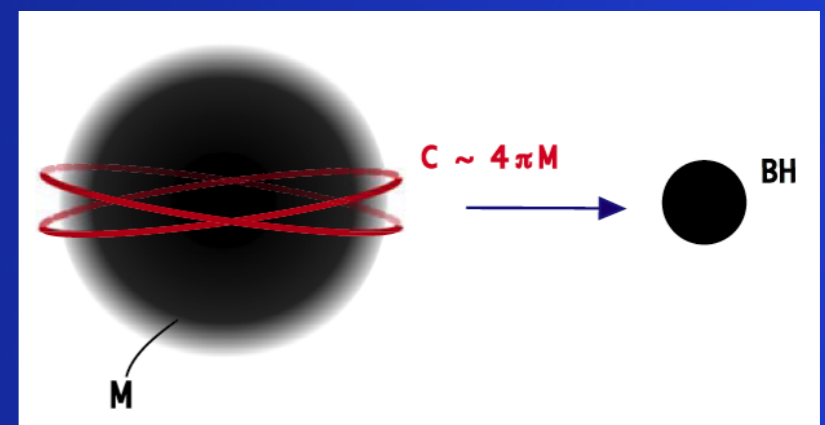
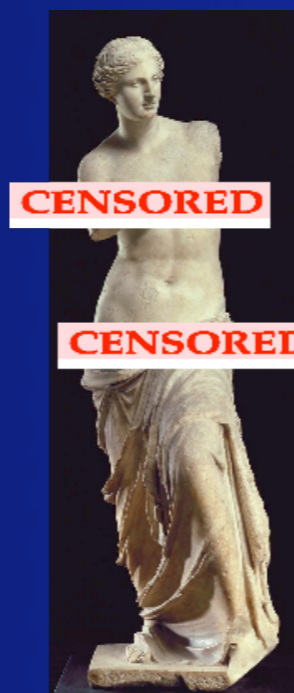
Formation Process?

Dynamical Features? ...

No Hair Conjecture?  
Cosmic Censorship?  
Hoop Conjecture?

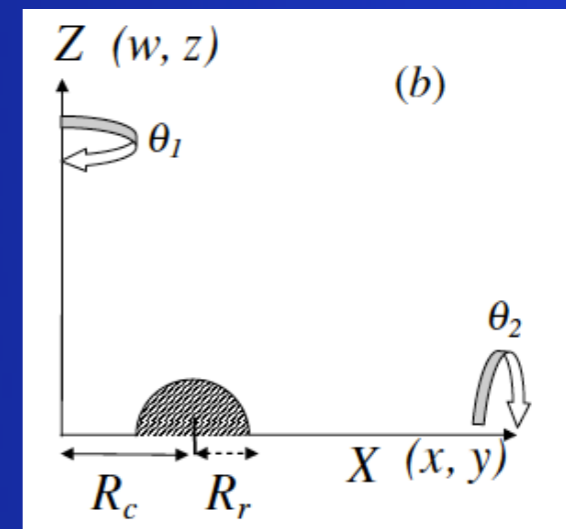
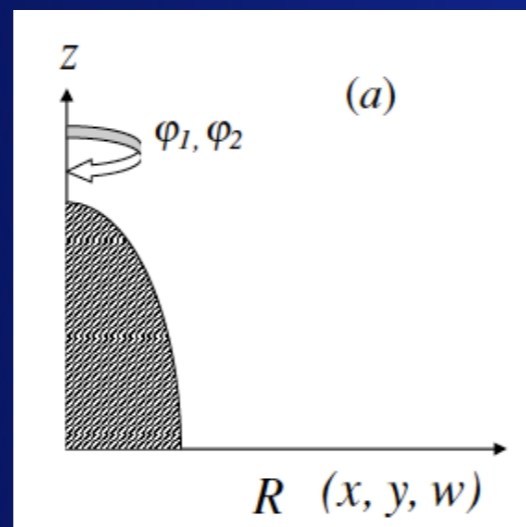


Figurative representation of a black hole in action. All details of the infalling matter are washed out. The final configuration is believed to be uniquely determined by mass, electric charge, and angular momentum. Figure 1



## 2. Initial Data Construction

- time symmetric, asymptotically flat
- conformal flat
- non-rotating homogeneous dust
- in spheroidal shape  $S^3$  or ring shape  $S^2 \times S^1$

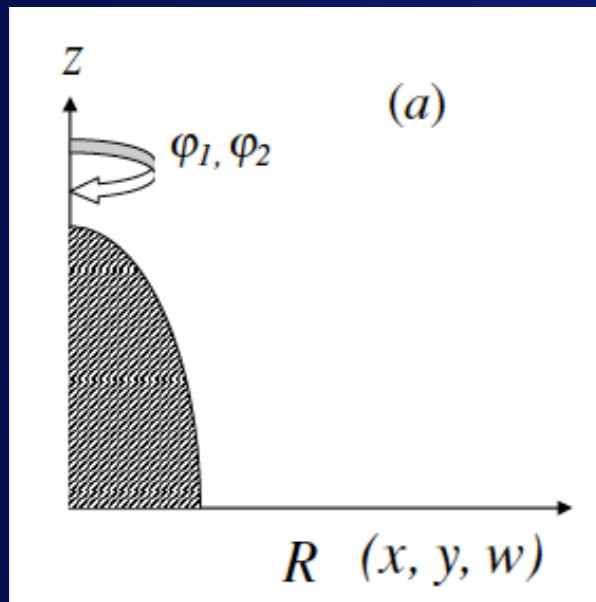


- solve the Hamiltonian constraint eq.  $512^2$  grids
- Apparent Horizon Search  
both for Ring Horizon and Common Horizon
- Define Hoop and check the Hoop Conjecture

# 2.A: Initial Data Construction

metric & Hamiltonian constraint

## Spheroidal Cases

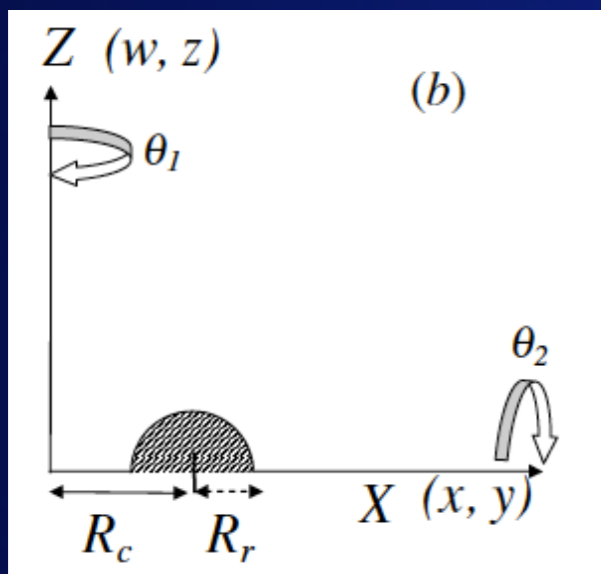


$$ds^2 = \psi(R, z)^2 [dR^2 + R^2(d\varphi_1^2 + \sin^2 \varphi_1 d\varphi_2^2) + dz^2]$$

$$R = \sqrt{x^2 + y^2 + z^2}, \quad \varphi_1 = \tan^{-1} \left( \frac{w}{\sqrt{x^2 + y^2}} \right), \quad \varphi_2 = \tan^{-1} \left( \frac{y}{x} \right).$$

$$\frac{\partial^2 \psi}{\partial R^2} + \frac{2}{R} \frac{\partial \psi}{\partial R} + \frac{\partial^2 \psi}{\partial z^2} = -4\pi^2 G_5 \rho.$$

## Toroidal Cases



$$ds^2 = \psi(X, Z)^2 (dX^2 + dZ^2 + X^2 d\vartheta_1 + Z^2 d\vartheta_2)$$

$$X = \sqrt{x^2 + y^2}, \quad Z = \sqrt{z^2 + w^2}, \quad \vartheta_1 = \tan^{-1} \left( \frac{y}{x} \right), \quad \vartheta_2 = \tan^{-1} \left( \frac{z}{w} \right)$$

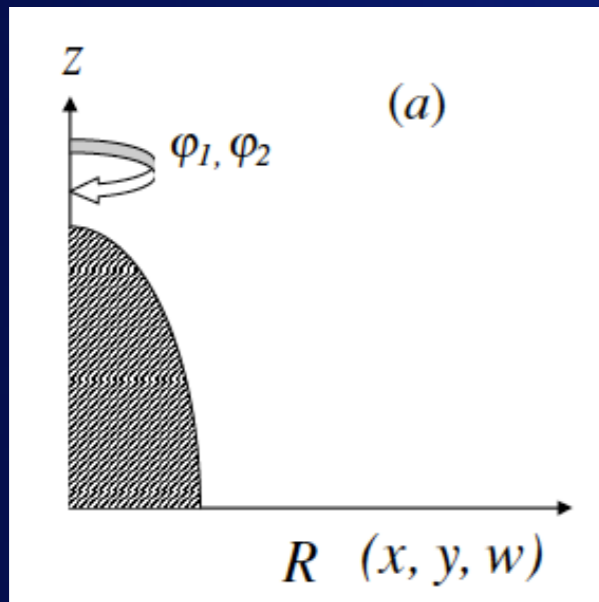
$$\frac{1}{X} \frac{\partial}{\partial X} \left( X \frac{\partial \psi}{\partial X} \right) + \frac{1}{Z} \frac{\partial}{\partial Z} \left( Z \frac{\partial \psi}{\partial Z} \right) = -4\pi^2 G_5 \rho.$$



# 2.A: Initial Data Construction

## Apparent Horizons Search

### Spheroidal Cases



$$\ddot{r}_M - \frac{4\dot{r}_M^2}{r_M} - 3r_M + \frac{r_M^2 + \dot{r}_M^2}{r_M} \left[ \frac{2\dot{r}_M}{r_M} \cot \theta - \frac{3}{\psi} (\dot{r}_M \sin \theta + r_M \cos \theta) \frac{\partial \psi}{\partial z} + \frac{3}{\psi} (\dot{r}_M \cos \theta - r_M \sin \theta) \frac{\partial \psi}{\partial R} \right] = 0$$

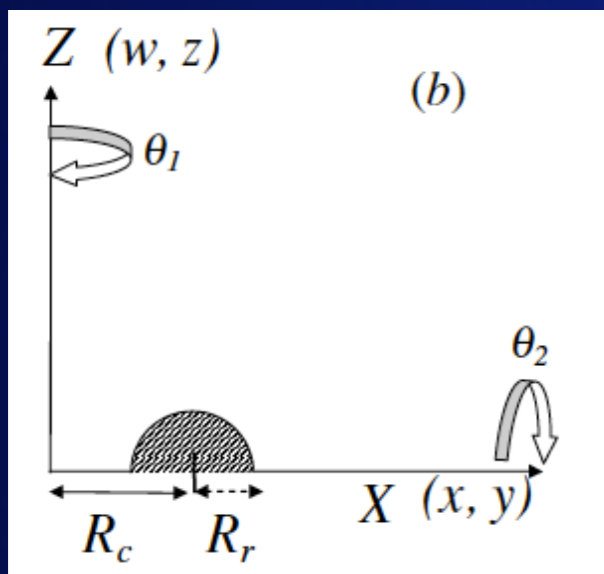
### Common Horizon

$$\ddot{r}_m - 4\frac{\dot{r}_m^2}{r_m} - 3r_m - \frac{r_m^2 + \dot{r}_m^2}{r_m} \left[ 2\frac{\dot{r}_m}{r_m} \cot(2\phi) - \frac{3}{\psi} (r_m \sin \phi + r \cos \phi) \frac{\partial \psi}{\partial X} + \frac{3}{\psi} (r_m \cos \phi - r \sin \phi) \frac{\partial \psi}{\partial Z} \right] = 0$$

### Ring Horizon

$$\ddot{r}_m - \frac{3\dot{r}_m^2}{r_m} - 2r_m - \frac{r_m^2 + \dot{r}_m^2}{r_m} \times \left[ \frac{r_m \sin \xi + r \cos \xi}{r_m \cos \xi + R_c} - \frac{\dot{r}_m}{r_m} \cot \xi + \frac{3}{\psi} (r_m \sin \xi + r \cos \xi) \frac{\partial \psi}{\partial x} - \frac{3}{\psi} (r_m \cos \xi - r \sin \xi) \frac{\partial \psi}{\partial z} \right] = 0$$

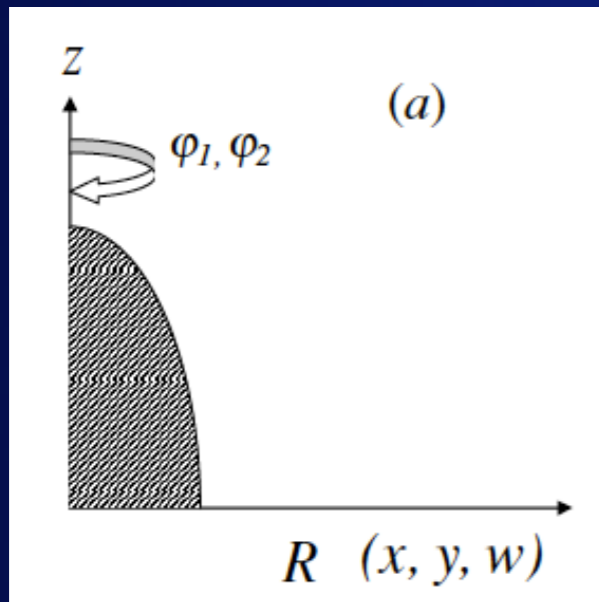
### Toroidal Cases



# 2.A: Initial Data Construction

## Area of Horizons

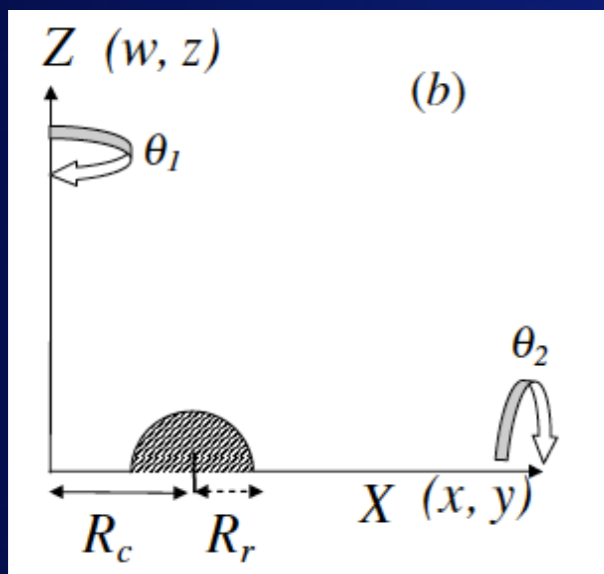
### Spheroidal Cases



$$A_3^{(S)} = 8\pi \int_0^{\pi/2} \psi^3 r_M^2 \sin^2 \theta \sqrt{r_M^2 + r_M^2} d\theta$$

Common Horizon

### Toroidal Cases



$$A_3^{(T1)} = 4\pi^2 \int_0^{\pi/2} \psi^3 r_m^2 \cos \phi \sin \phi \sqrt{r_m^2 + r_m^2} d\phi$$

Ring Horizon

$$A_3^{(T2)} = 4\pi^2 \int_0^{\pi} \psi^3 (R_c + r_m \cos \xi) r_m \sin \xi \sqrt{r_m^2 + r_m^2} d\xi$$

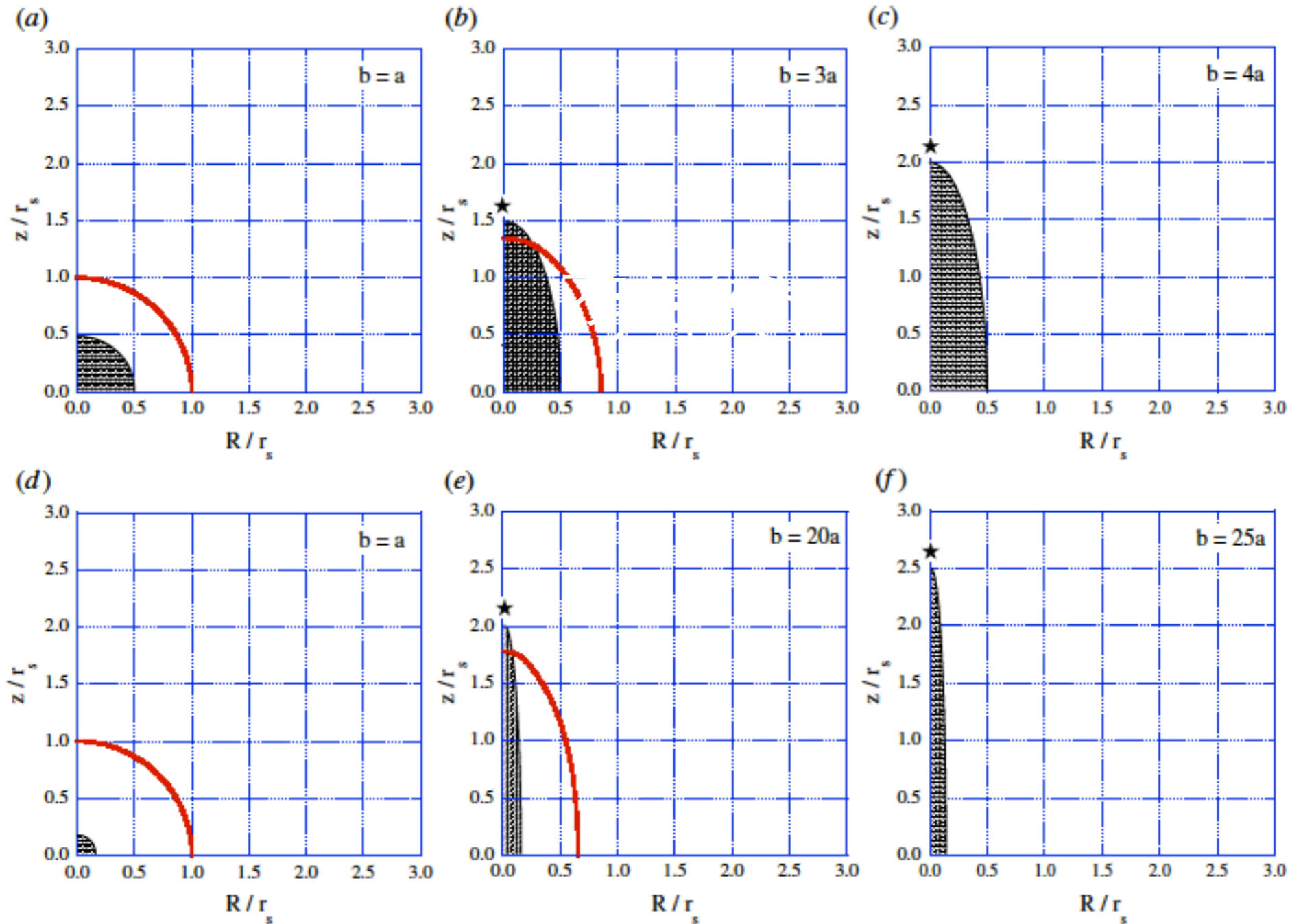
# 2.B: Initial Data Results

## Spheroidal Cases

cf. (3-dim.) Nakamura-Shapiro-Teukolsky (1988)

Class. Quantum Grav. 27 (2010) 045012

Y Yamada and H Shinkai



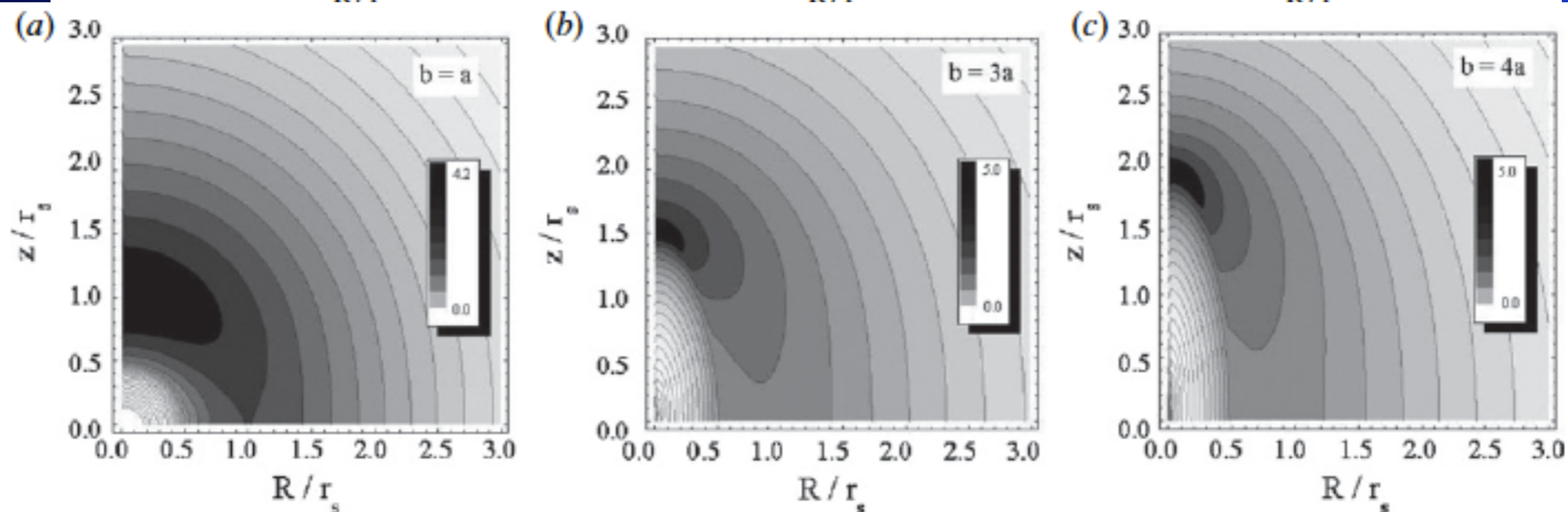
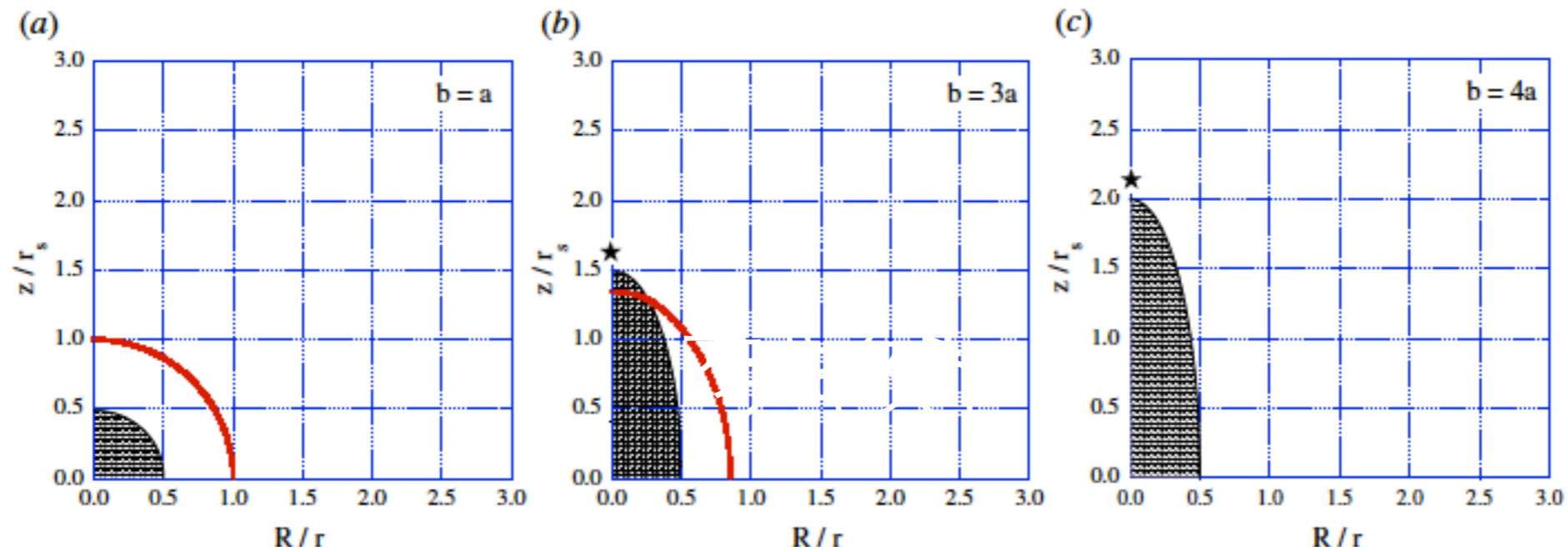
# 2.B: Initial Data Results

## Spheroidal Cases

cf. (3-dim.) Nakamura-Shapiro-Teukolsky (1988)

Class. Quantum Grav. 27 (2010) 045012

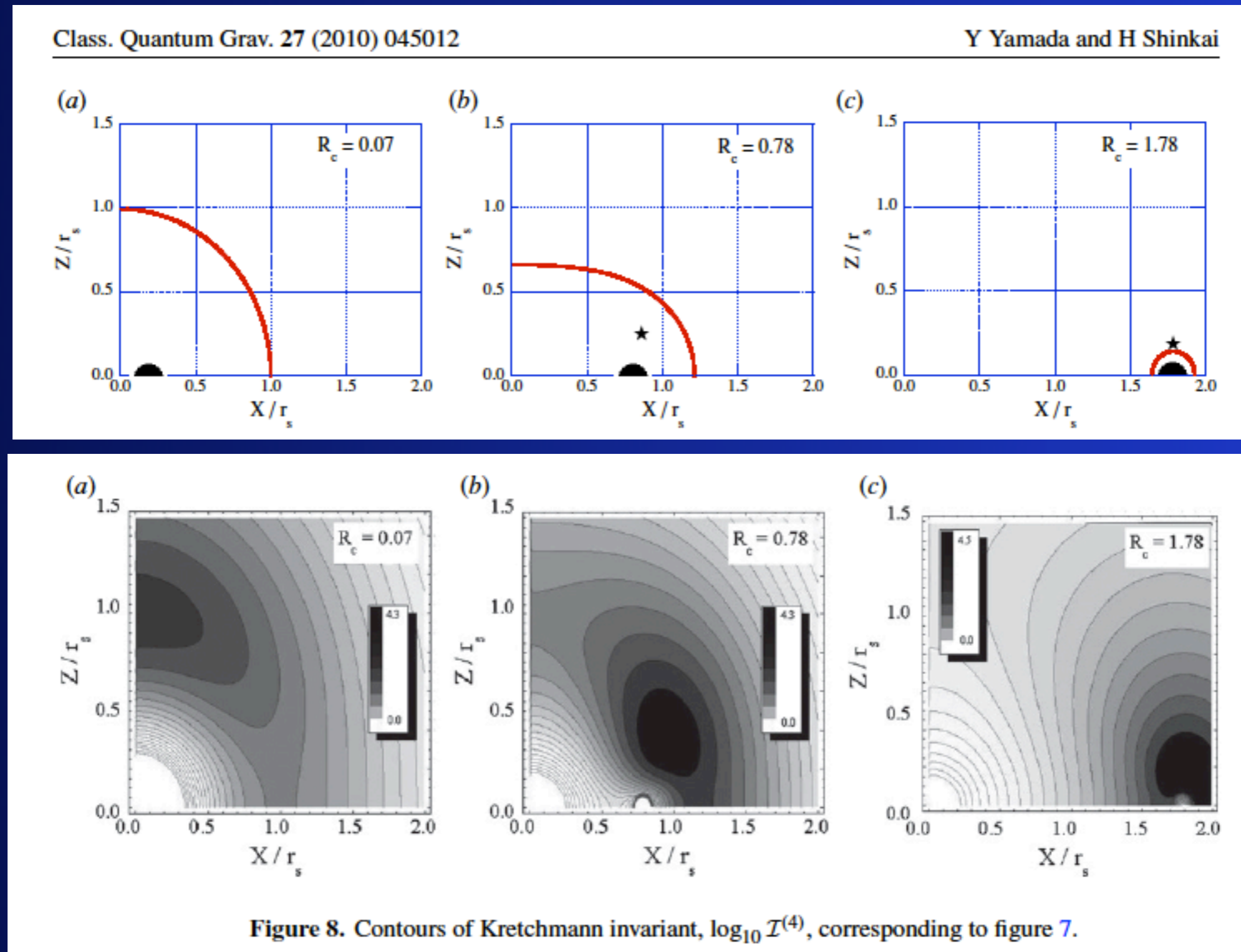
Y Yamada and H Shinkai



Contour Plot of the Kretschmann invariant,  $R_{abcd}R^{abcd}$

# 2.B: Initial Data Results

## Toroidal Cases

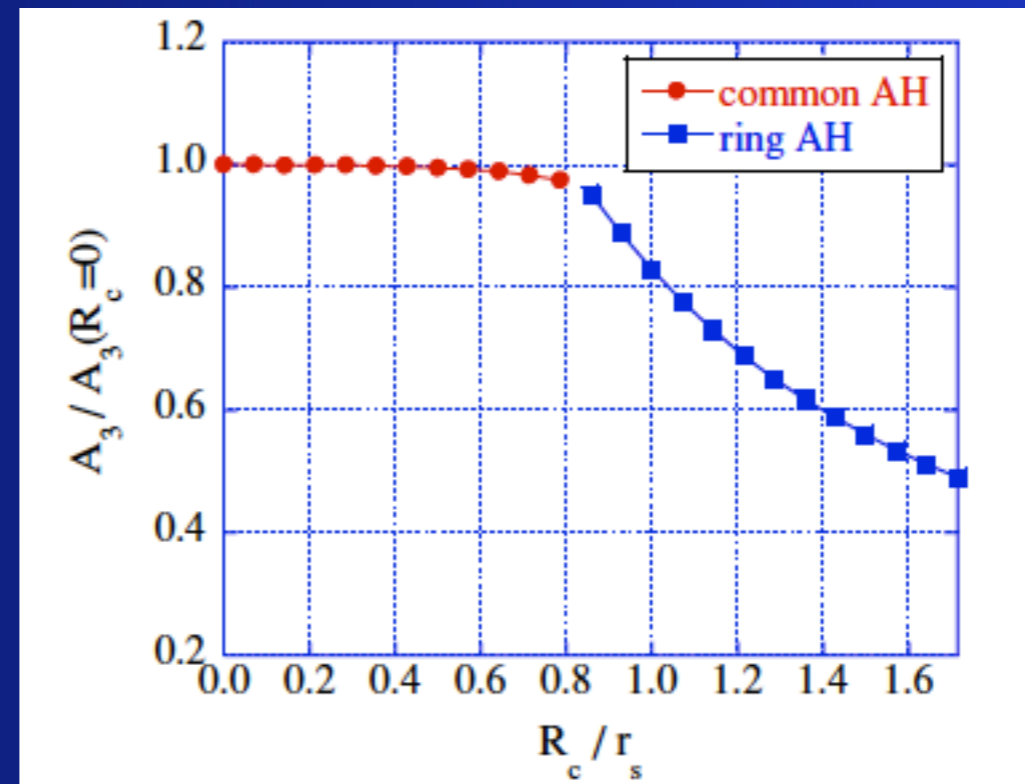
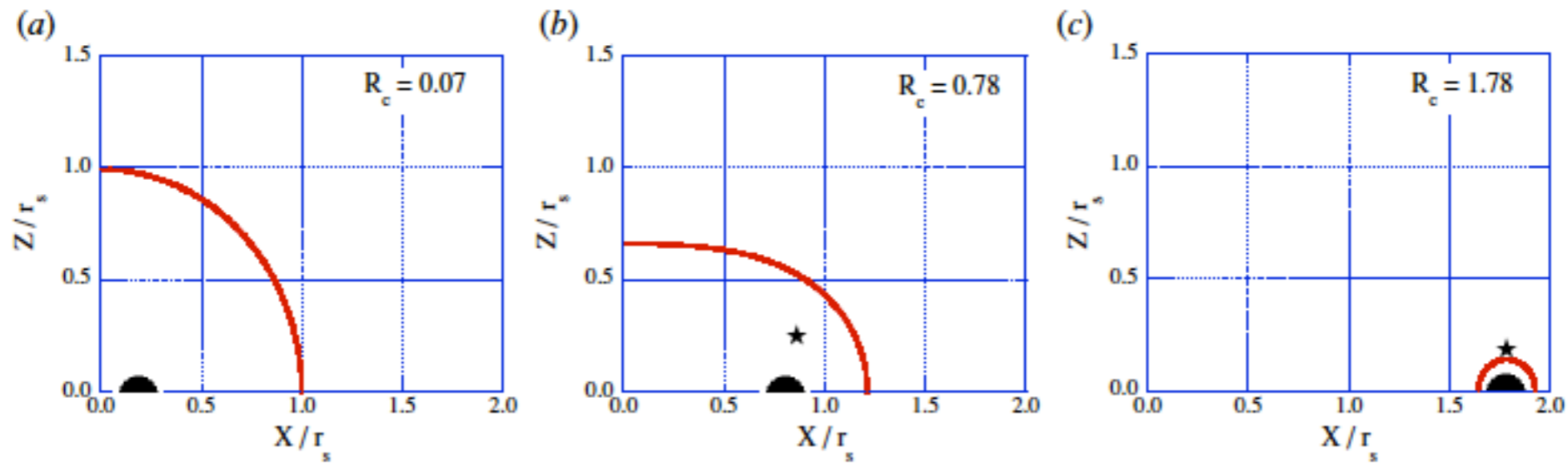


# 2.B: Initial Data Results

## Toroidal Cases

Class. Quantum Grav. 27 (2010) 045012

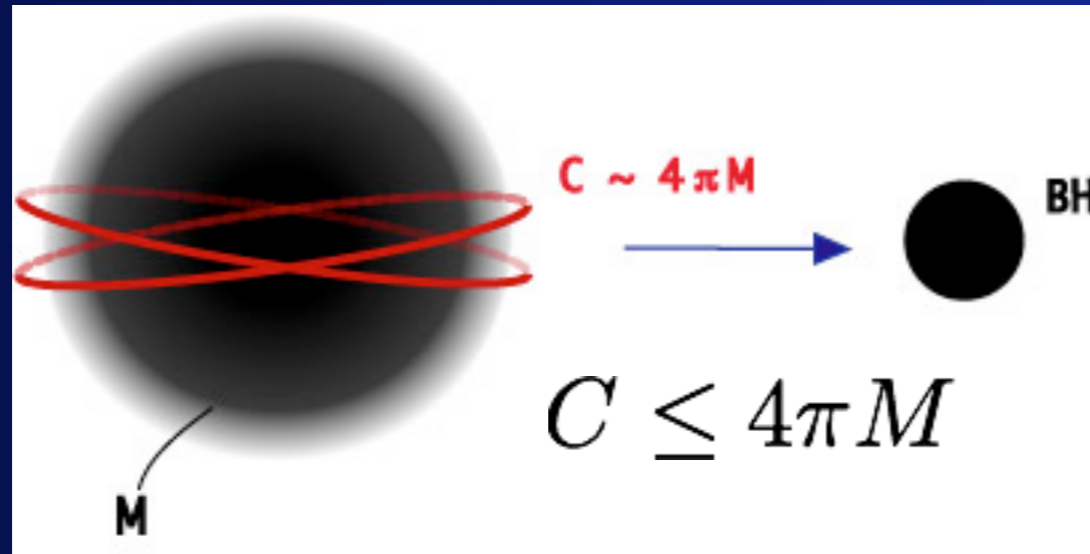
Y Yamada and H Shinkai



# 2.C. Initial Data Analysis

## Hyper-Hoop conjecture ?

### Hoop Conjecture Thorne (1972)



### Hyper-Hoop Conjecture

Ida-Nakao (2002)

$$V_{D-3} \leq G_D M$$

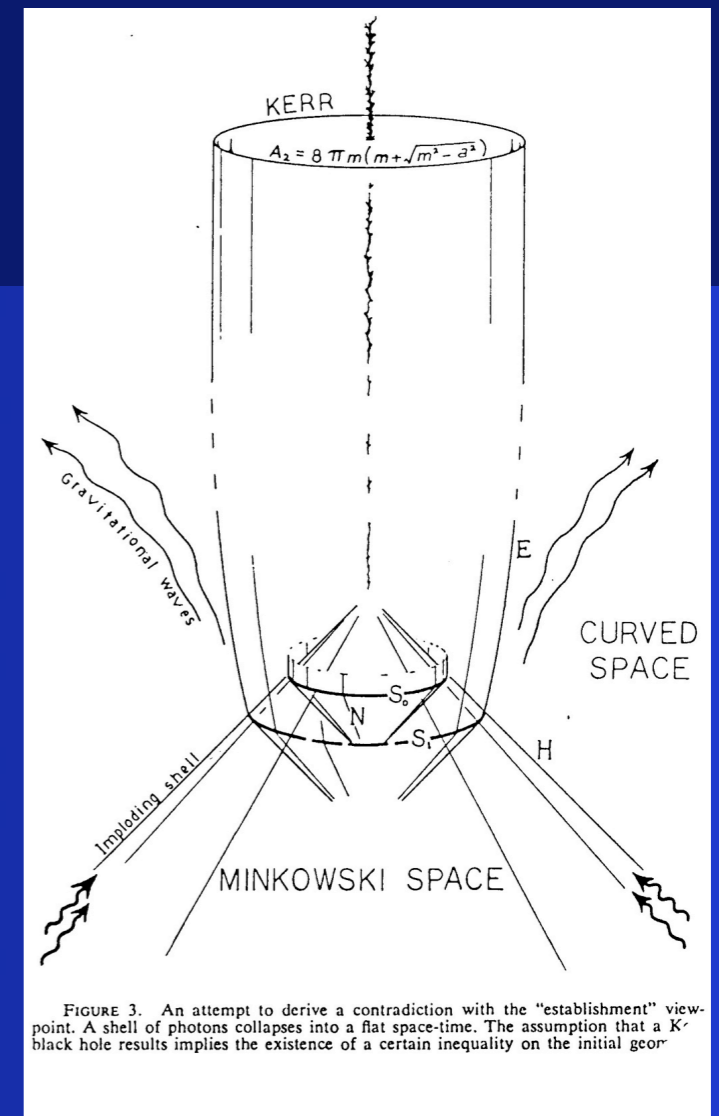


FIGURE 3. An attempt to derive a contradiction with the "establishment" viewpoint. A shell of photons collapses into a flat space-time. The assumption that a Kerr black hole results implies the existence of a certain inequality on the initial geometry.

Penrose (1969)

$$A \leq 16\pi M^2$$

# 2.C. Initial Data Analysis

## Hyper-Hoop conjecture ?

### Hoop Conjecture Thorne (1972)

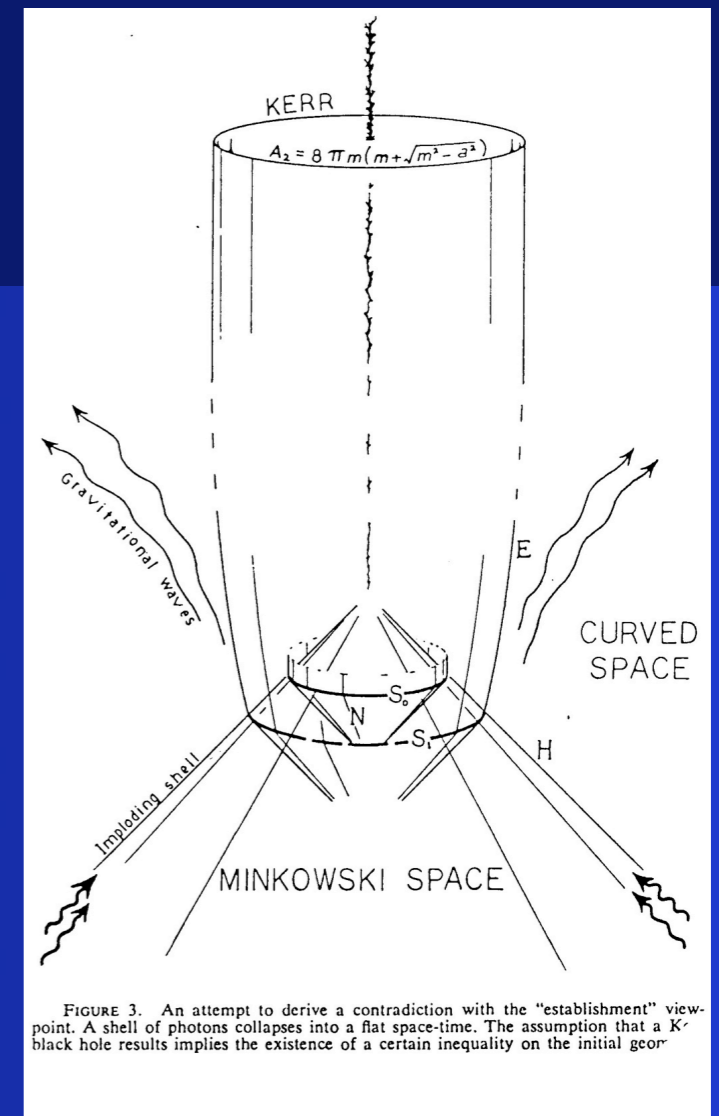
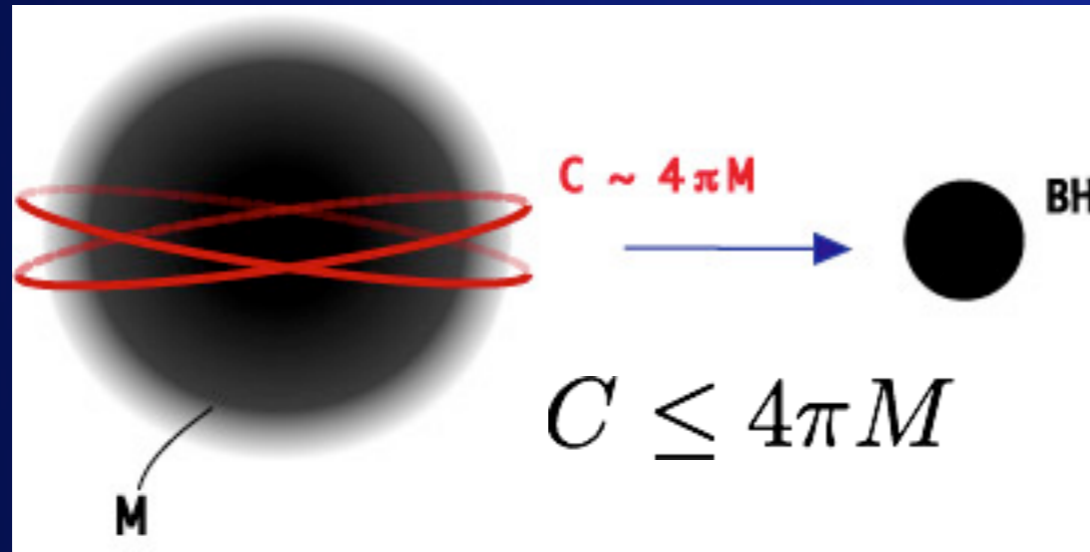


FIGURE 3. An attempt to derive a contradiction with the "establishment" viewpoint. A shell of photons collapses into a flat space-time. The assumption that a Kerr black hole results implies the existence of a certain inequality on the initial geometry.

### Hyper-Hoop Conjecture

Ida-Nakao (2002)

$$V_{D-3} \leq G_D M$$

In 5-D, if mass gets compacted in some area, ....

Penrose (1969)

$$A \leq 16\pi M^2$$



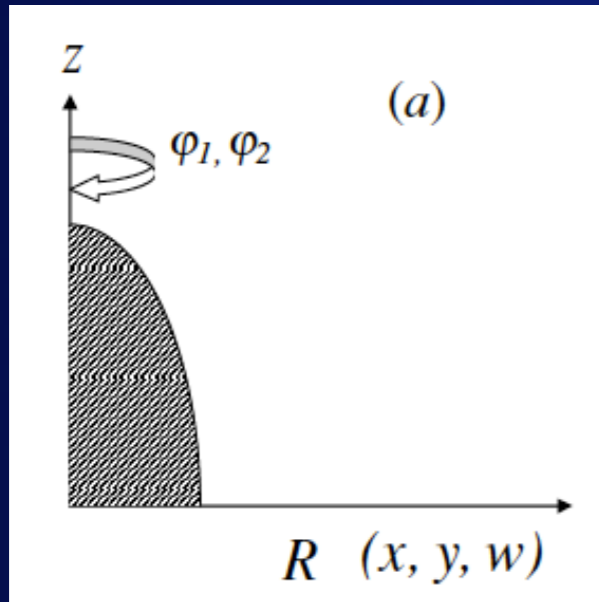
# 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

Spheroidal Cases

Define Hyper-Hoop as the surface  $\delta V_2 = 0$



$$V_2^{(A)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} \sin \theta d\theta$$

$$\ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h + \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \cot \theta - \frac{2}{\psi} (r_h \sin \theta + r_h \cos \theta) \frac{\partial \psi}{\partial z} - \frac{2}{\psi} (r_h \sin \theta - r_h \cos \theta) \frac{\partial \psi}{\partial R} \right] = 0$$

$$V_2^{(B)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} \cos \theta d\theta$$

$$\ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h - \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \tan \theta + \frac{2}{\psi} (r_h \sin \theta - r_h \cos \theta) \frac{\partial \psi}{\partial R} + \frac{2}{\psi} (r_h \cos \theta + r_h \sin \theta) \frac{\partial \psi}{\partial z} \right] = 0$$

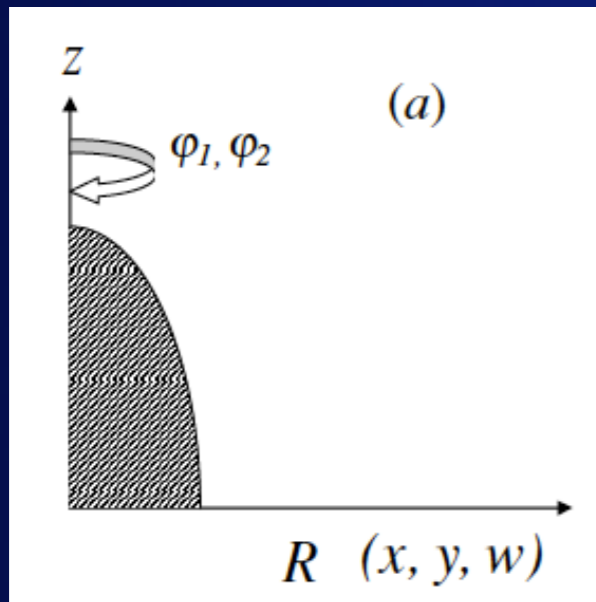
# 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

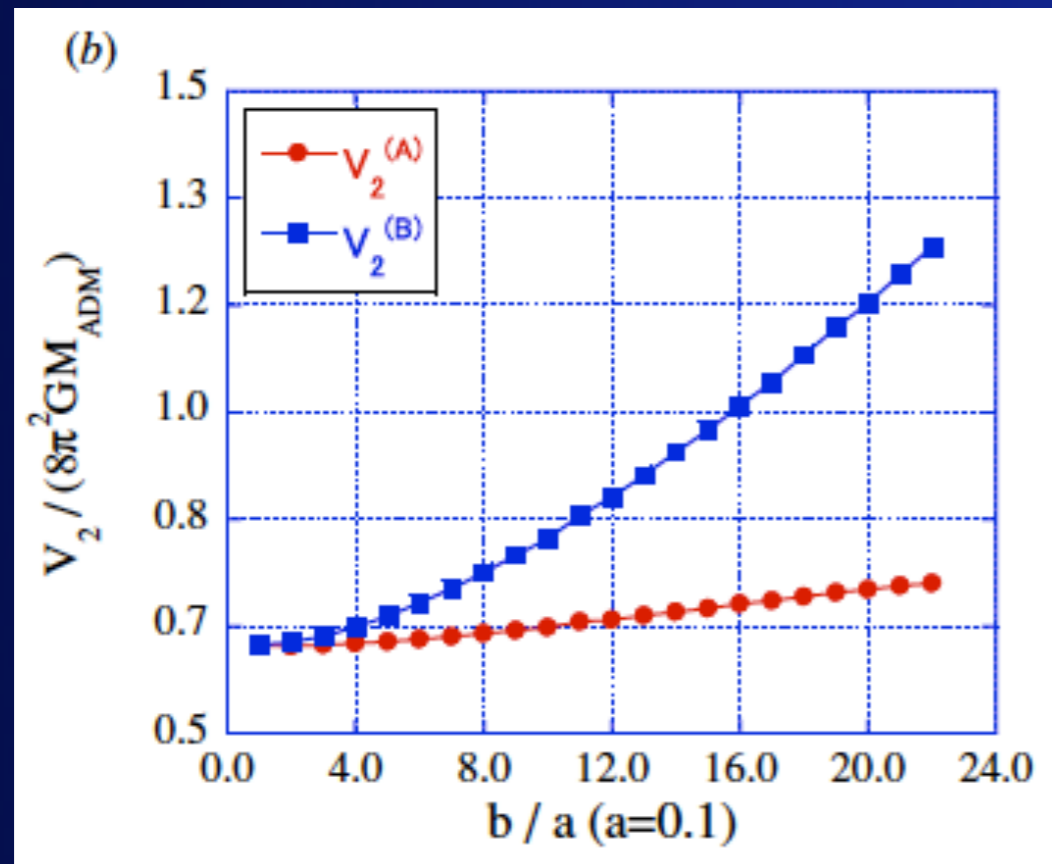
Spheroidal Cases

Define Hyper-Hoop as the surface  $\delta V_2 = 0$



$$V_2^{(A)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \sin^2 \theta} \sin \theta d\theta$$

$$V_2^{(B)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \cos^2 \theta} \cos \theta d\theta$$



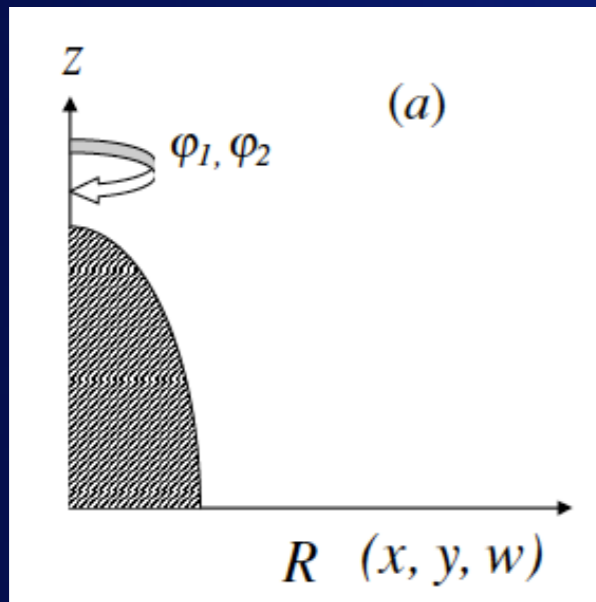
## 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

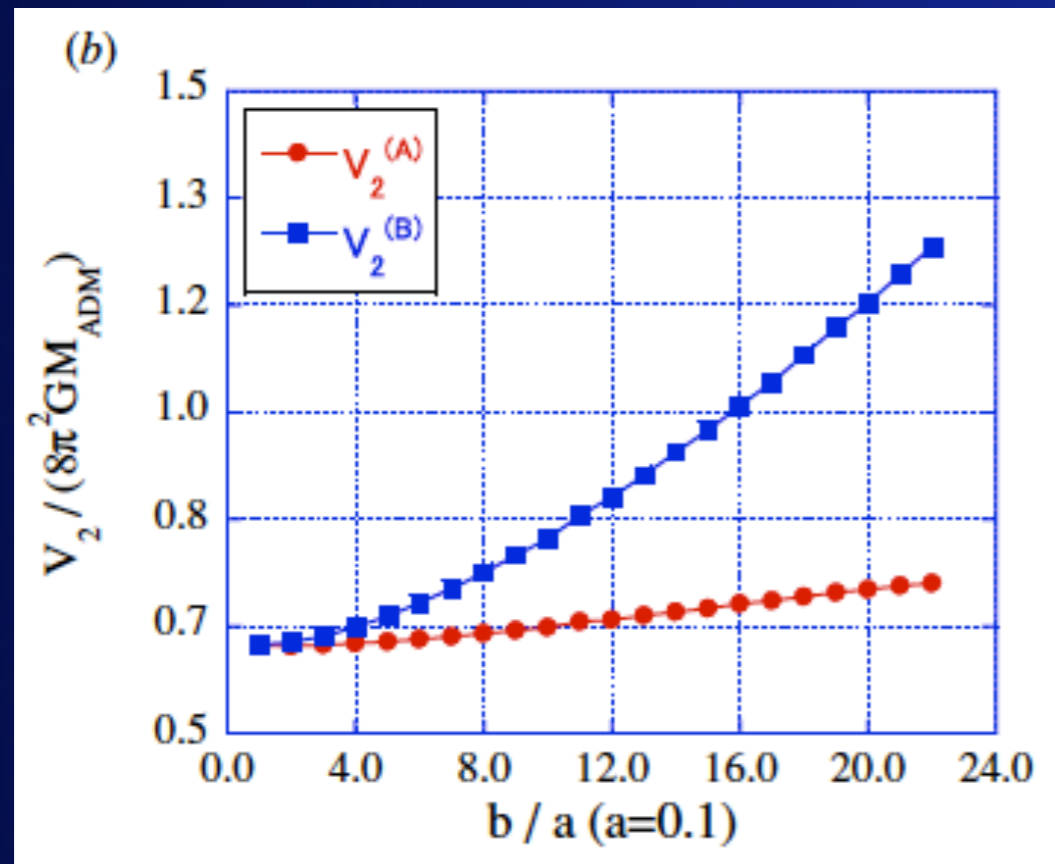
Spheroidal Cases

Define Hyper-Hoop as the surface  $\delta V_2 = 0$



$$V_2^{(A)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \sin^2 \theta} \sin \theta d\theta$$

$$V_2^{(B)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \cos^2 \theta} \cos \theta d\theta$$



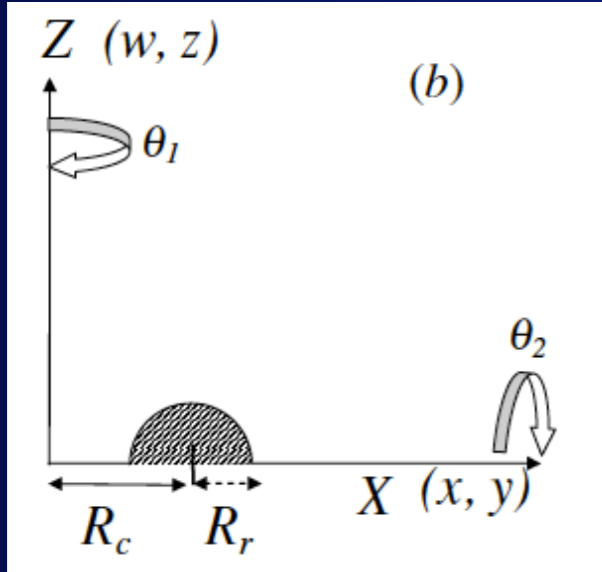
Hyper-Hoop  $V_2^{(A)}$   
does work for  
spheroidal horizons.

# 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

## Toroidal Cases



$$V_2^{(C)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \cos \phi \, d\phi$$

$$\ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h + \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \cot \phi - \frac{2}{\psi} (r_h \sin \phi + r_h \cos \phi) \frac{\partial \psi}{\partial X} - \frac{2}{\psi} (r_h \sin \phi - r_h \cos \phi) \frac{\partial \psi}{\partial Z} \right] = 0$$

$$V_2^{(D)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \sin \phi \, d\phi$$

$$\ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h - \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \tan \phi + \frac{2}{\psi} (r_h \sin \phi - r_h \cos \phi) \frac{\partial \psi}{\partial X} + \frac{2}{\psi} (r_h \cos \phi + r_h \sin \phi) \frac{\partial \psi}{\partial Z} \right] = 0$$

$$V_2^{(E)} = 2\pi \int_0^{\pi} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} (r_h \cos \xi + R_c) \, d\xi$$

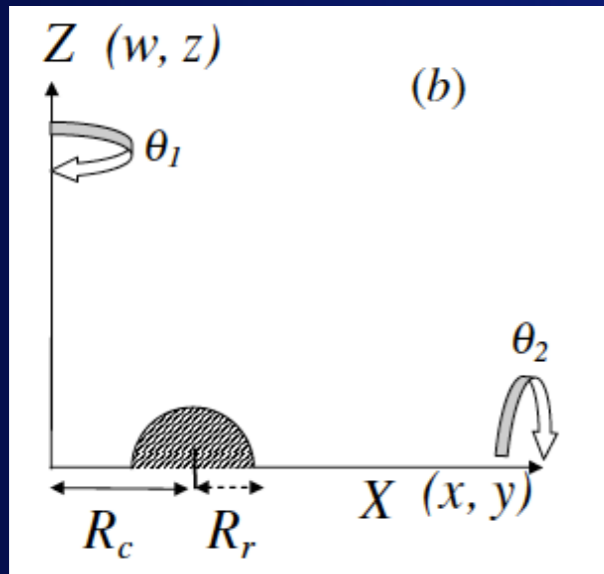
$$\ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h - \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{-R_c + r_h \sin \xi}{R_c + r_h \cos \xi} + \frac{2}{\psi} (r_h \sin \xi + r_h \cos \xi) \frac{\partial \psi}{\partial X} + \frac{2}{\psi} (r_h \sin \xi - r_h \cos \xi) \frac{\partial \psi}{\partial Z} \right] = 0$$

# 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

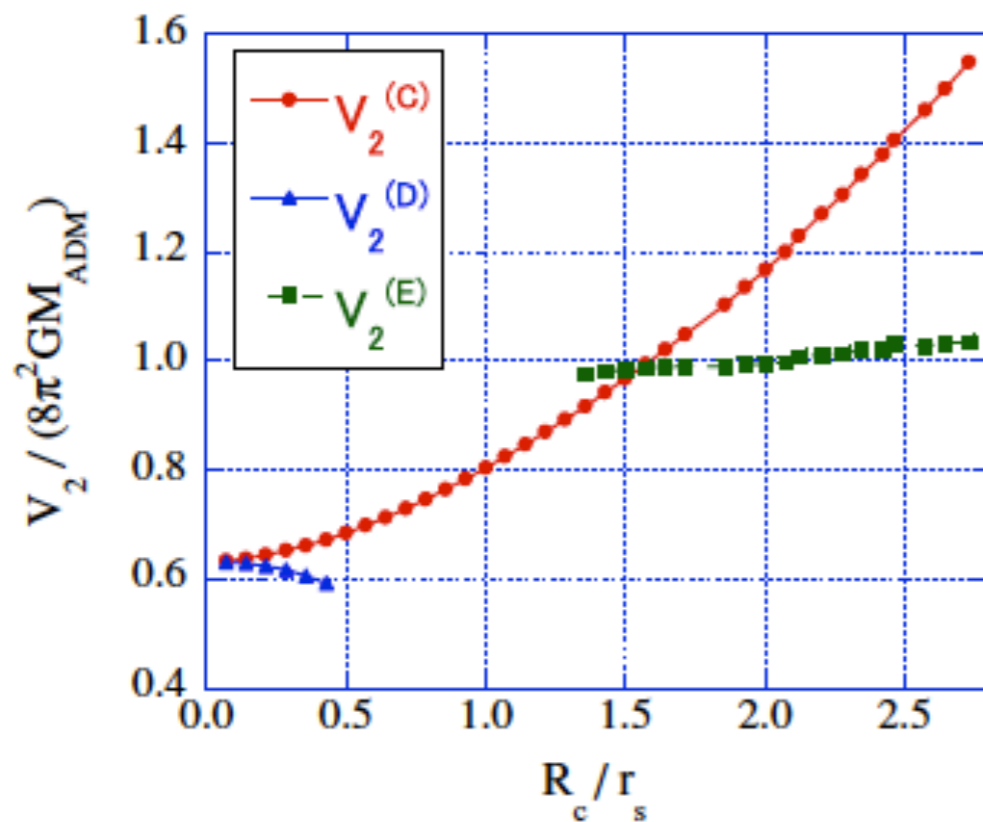
Toroidal Cases



$$V_2^{(C)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \cos \phi} d\phi$$

$$V_2^{(D)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \sin \phi} d\phi$$

$$V_2^{(E)} = 2\pi \int_0^\pi \psi^2 \sqrt{r_h^2 + r_h^2 (\cos \xi + R_c)} d\xi$$

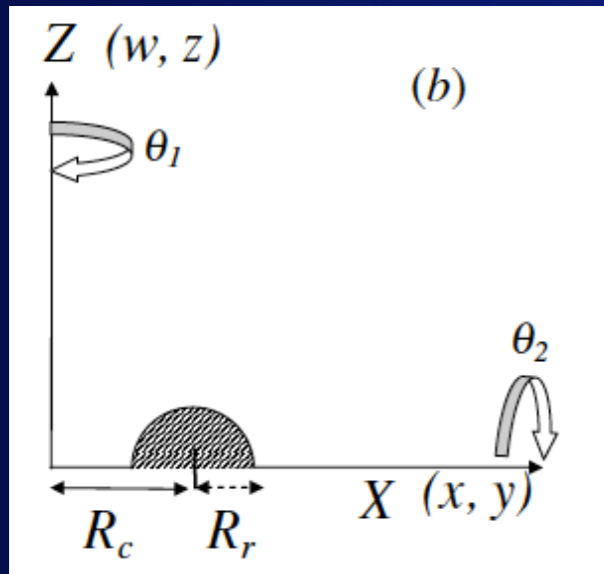


# 2.C. Initial Data Analysis

Hyper-Hoop conjecture ?

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M$$

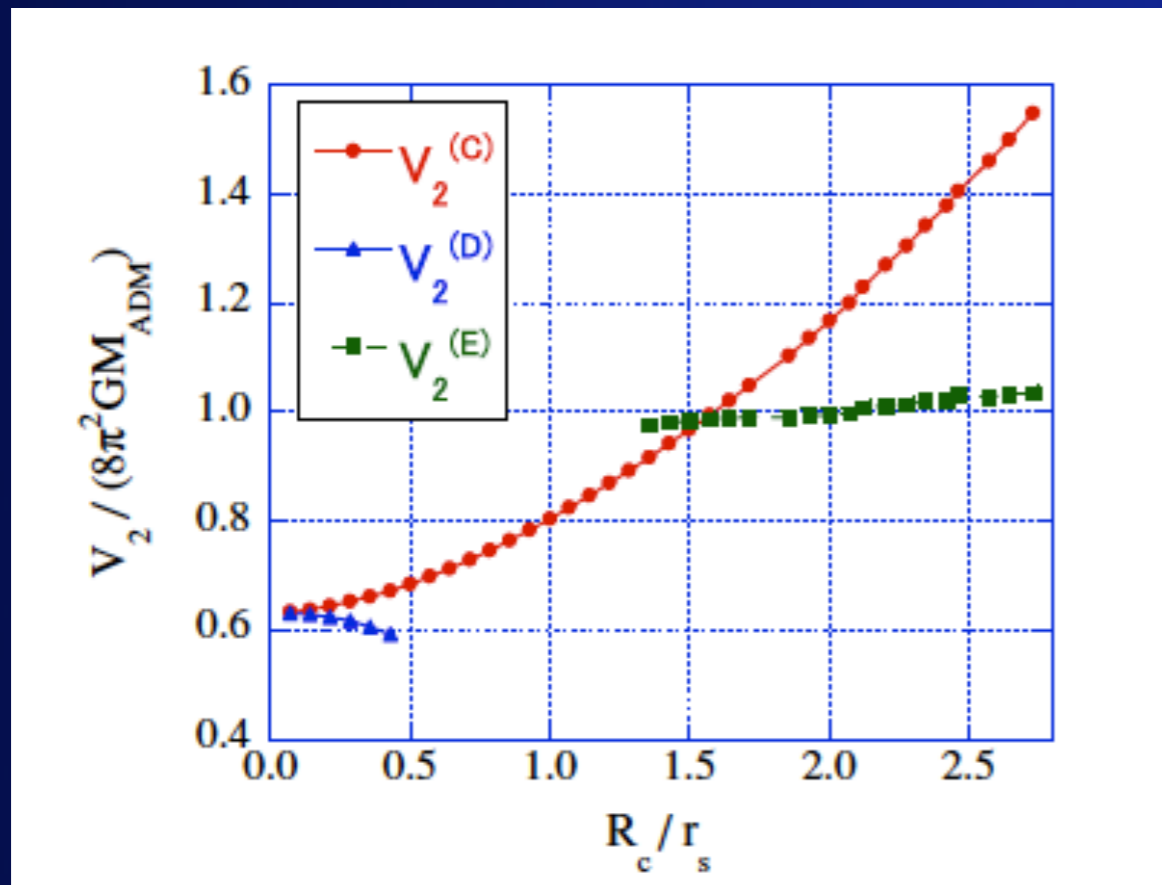
Toroidal Cases



$$V_2^{(C)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \cos \phi} d\phi$$

$$V_2^{(D)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{r_h^2 + r_h^2 \sin \phi} d\phi$$

$$V_2^{(E)} = 2\pi \int_0^\pi \psi^2 \sqrt{r_h^2 + r_h^2 (\cos \xi + R_c)} d\xi$$

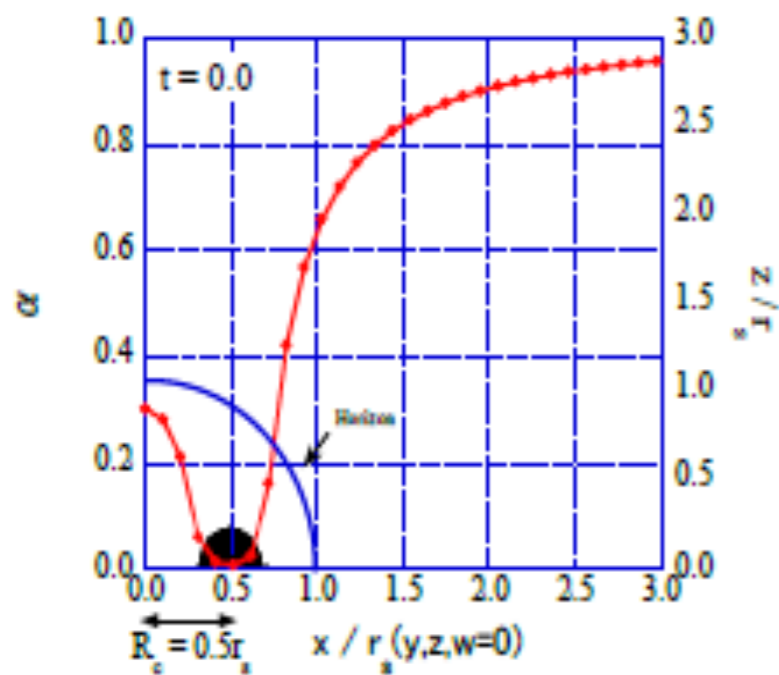


Hyper-Hoop does not work for ring horizons.

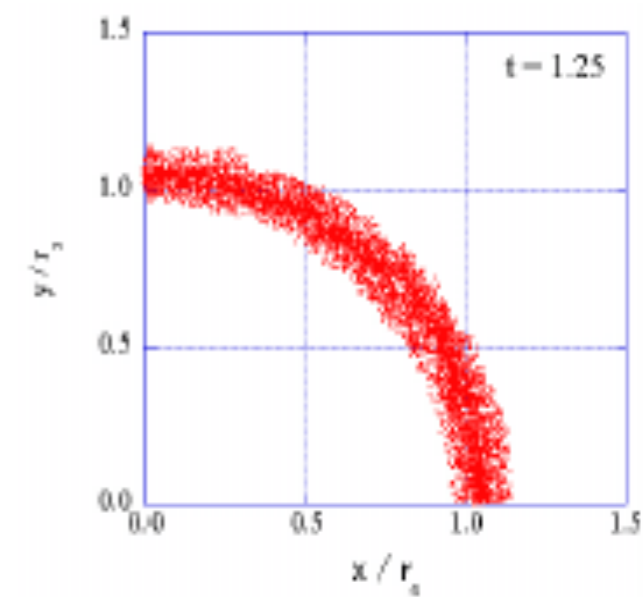
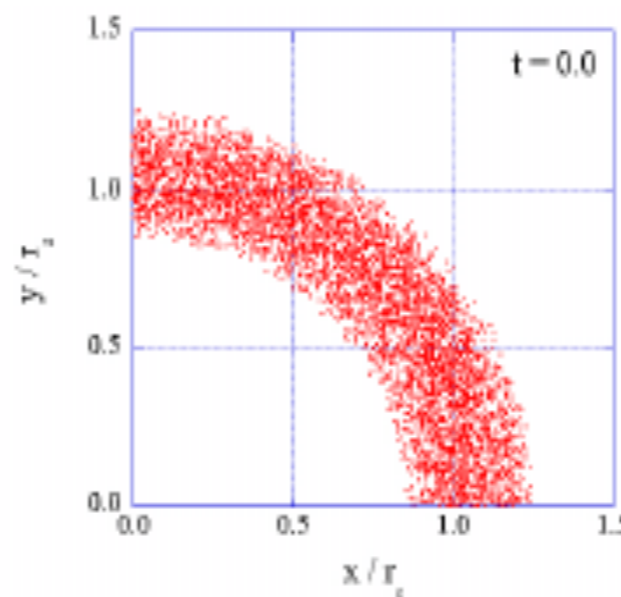
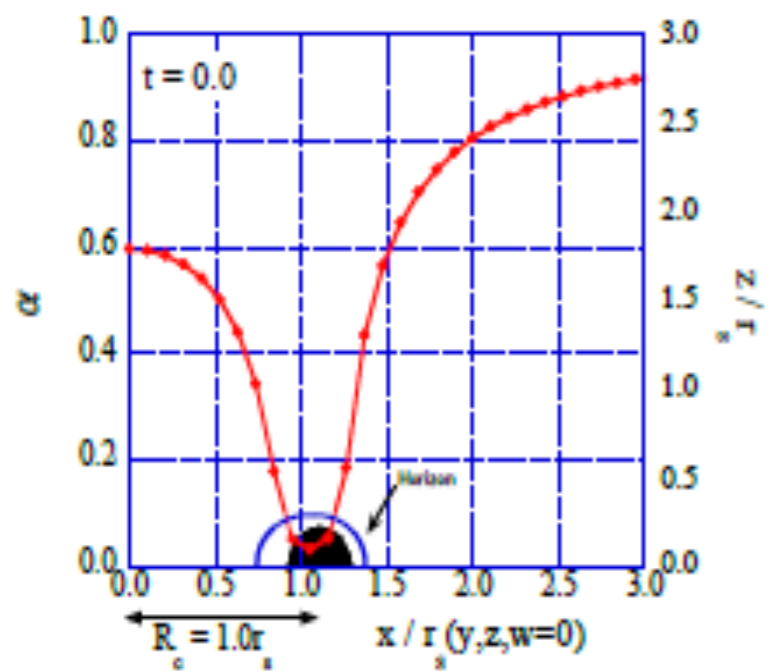
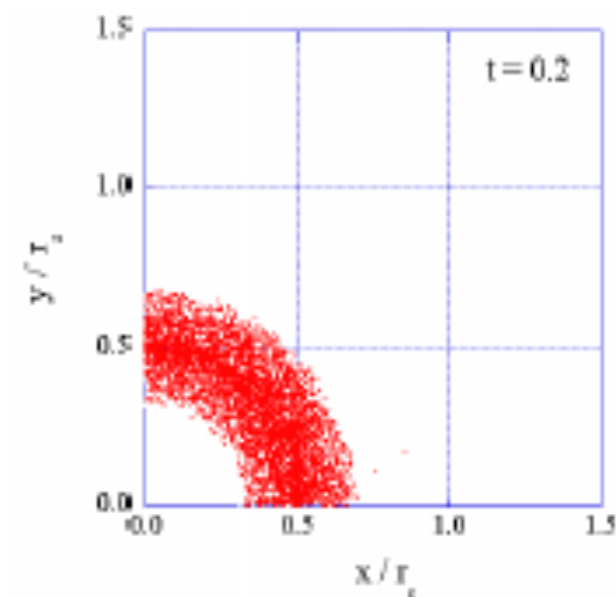
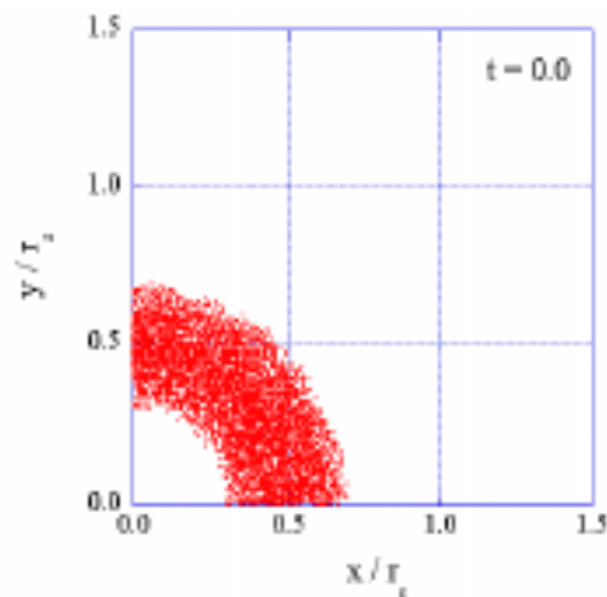
### 3. *Evolution Code*

- ADM full 4+1, ADM 2+1 Double Axisym Cartoon
- $33^4$  grids,  $65^2 \times 2^2$  grids
- Maximal slicing condition, zero shift vectors
- asymptotically flat
- Collisionless Particles (5000)
- the same total mass
- no rotation
- Apparent Horizon Search  
both for **Ring Horizon** and **Common Horizon**

• lapse function at  $t=0.0$



• time evolution of particle

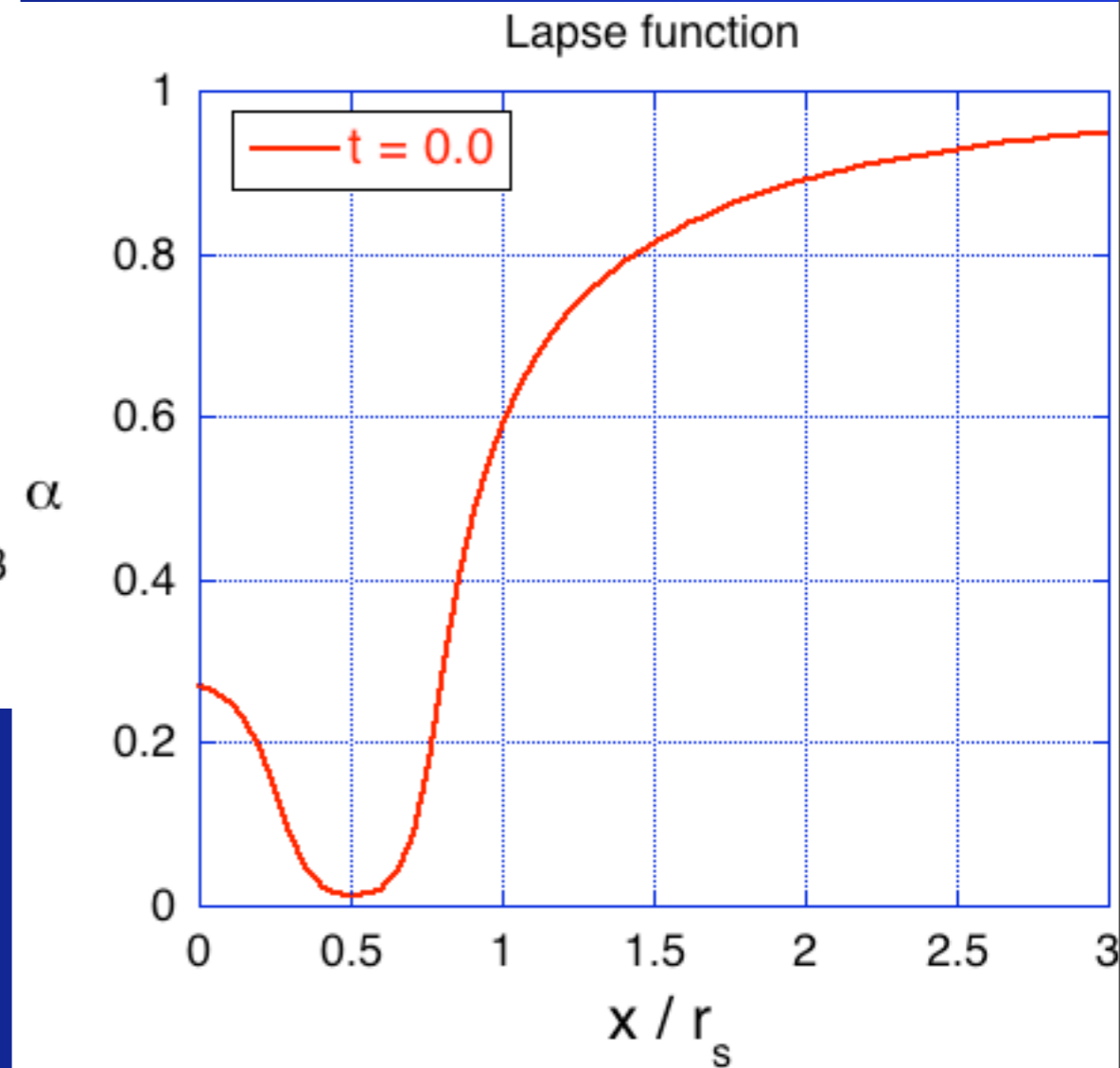
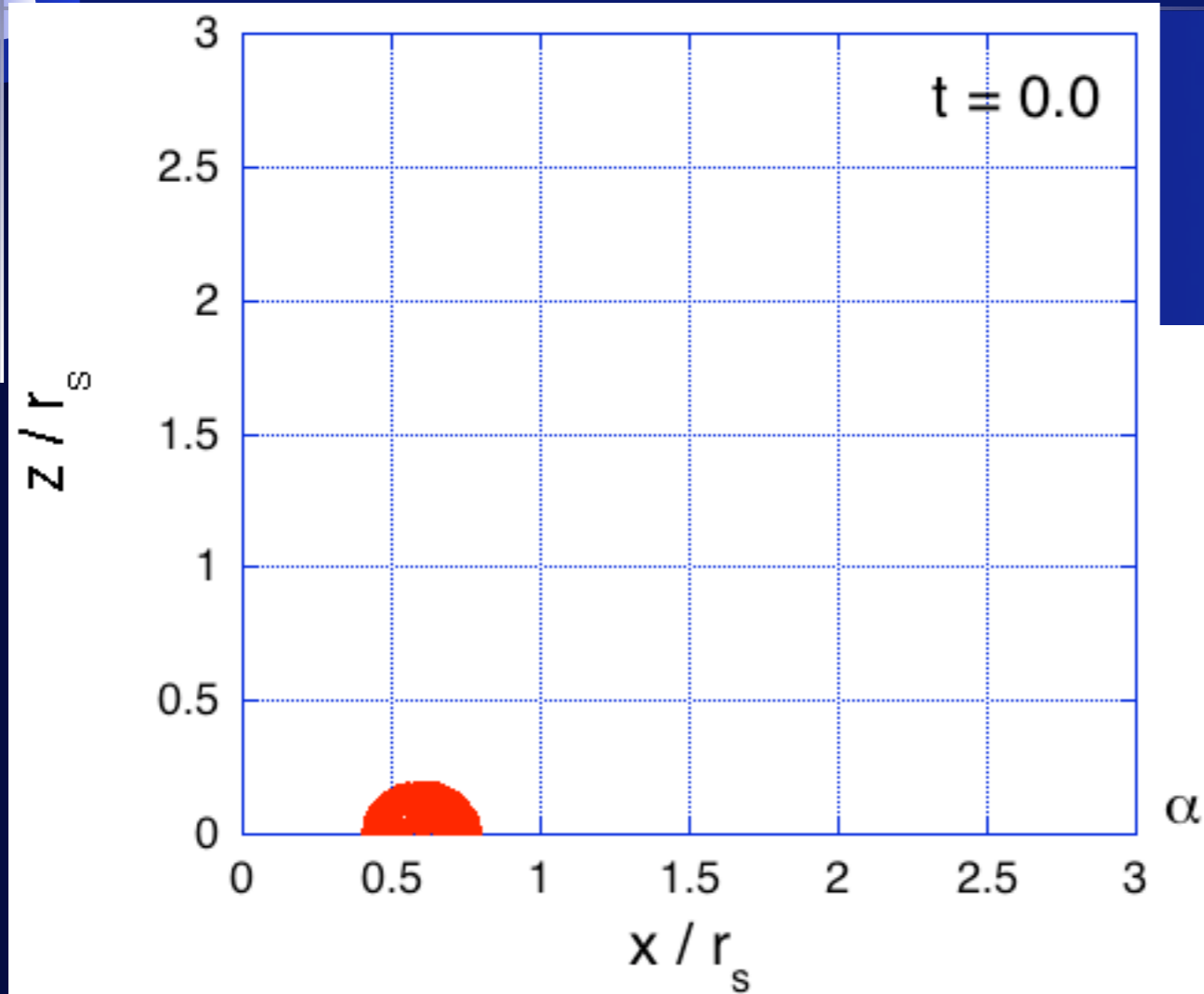




### 3. Evolution (case I)

$t=0$

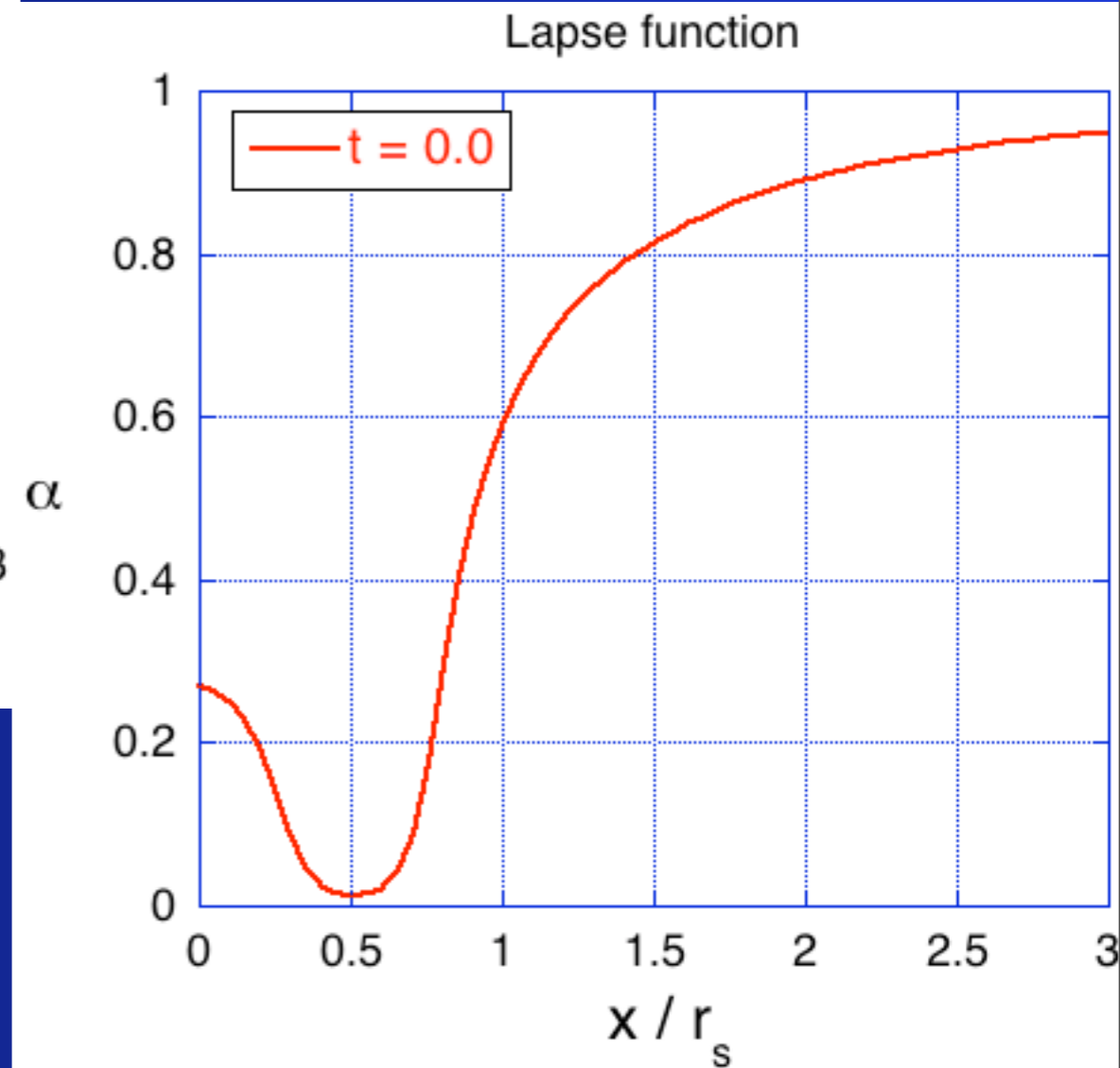
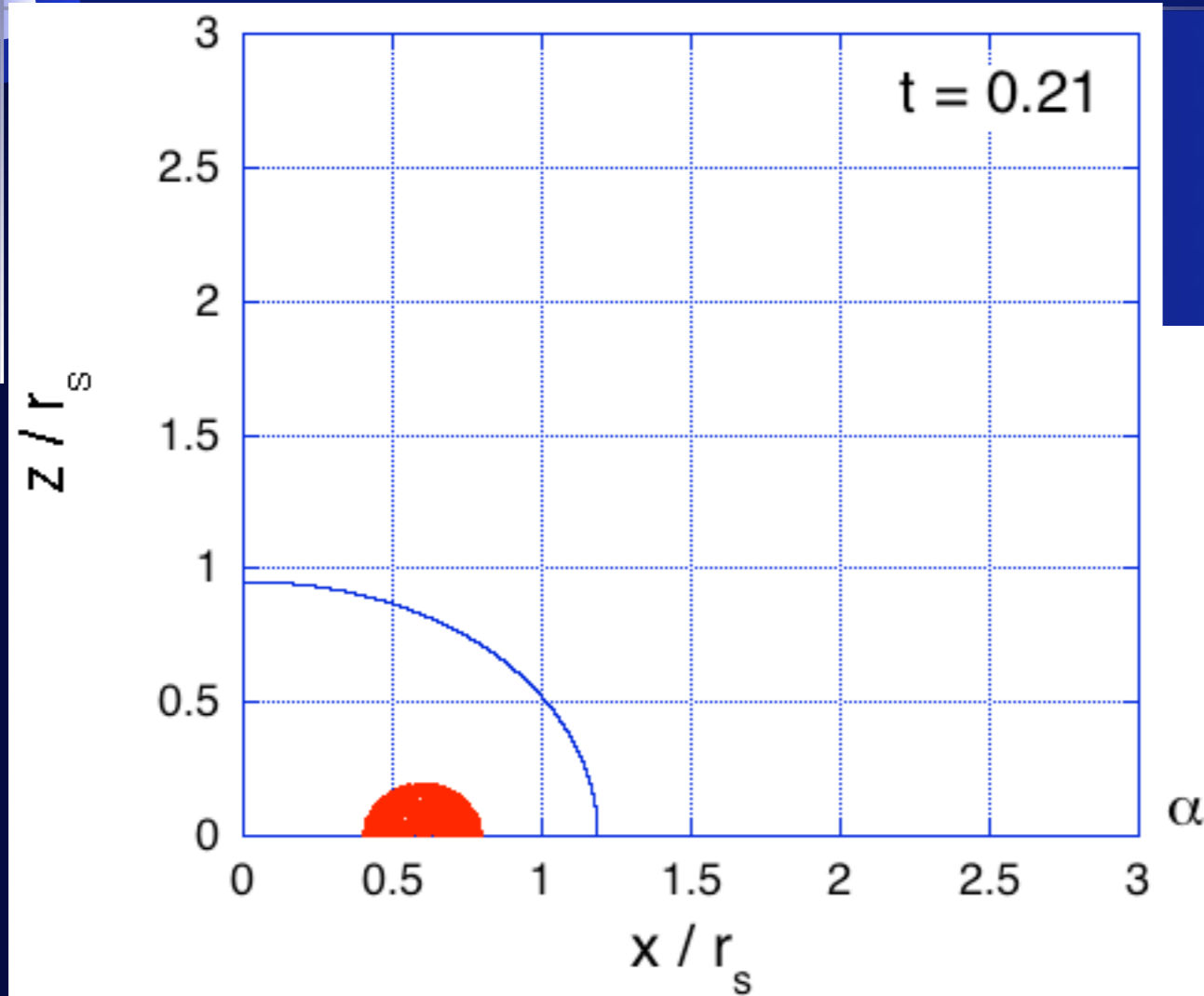
No Horizon



### 3. Evolution (case I)

$t=0$  No Horizon

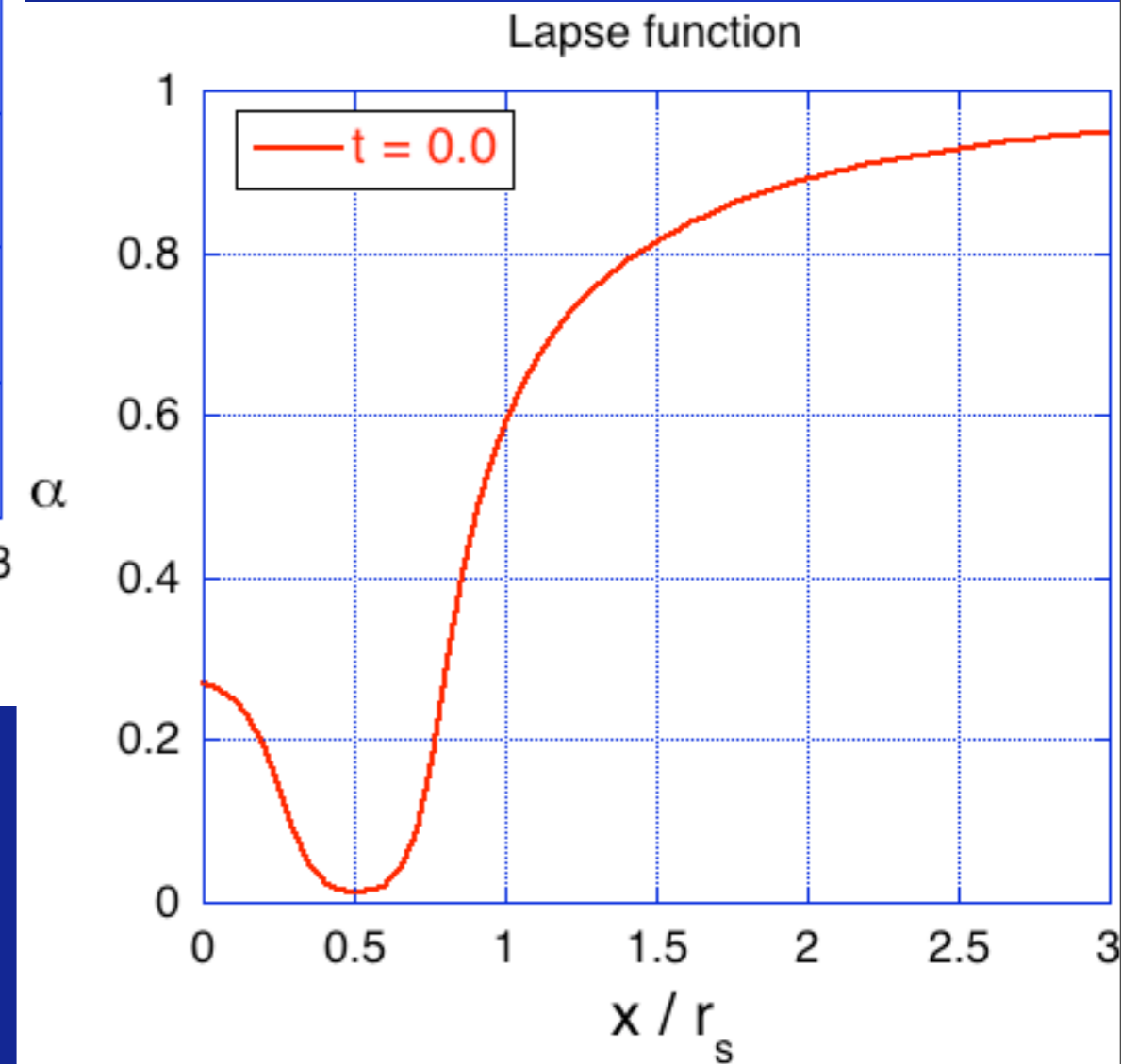
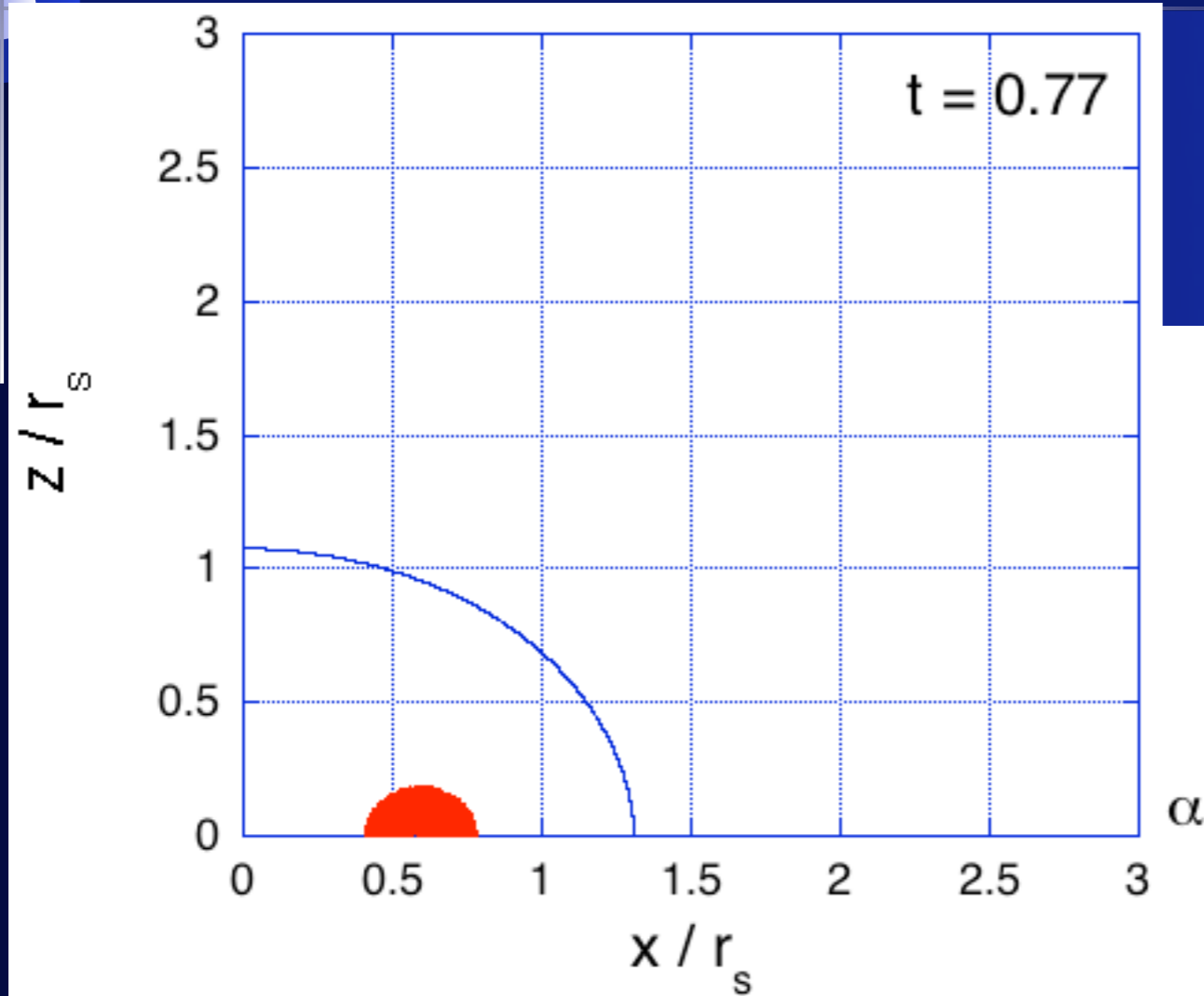
$t=0.2$  Common Horizon



# 3. Evolution (case I)

$t=0$  No Horizon

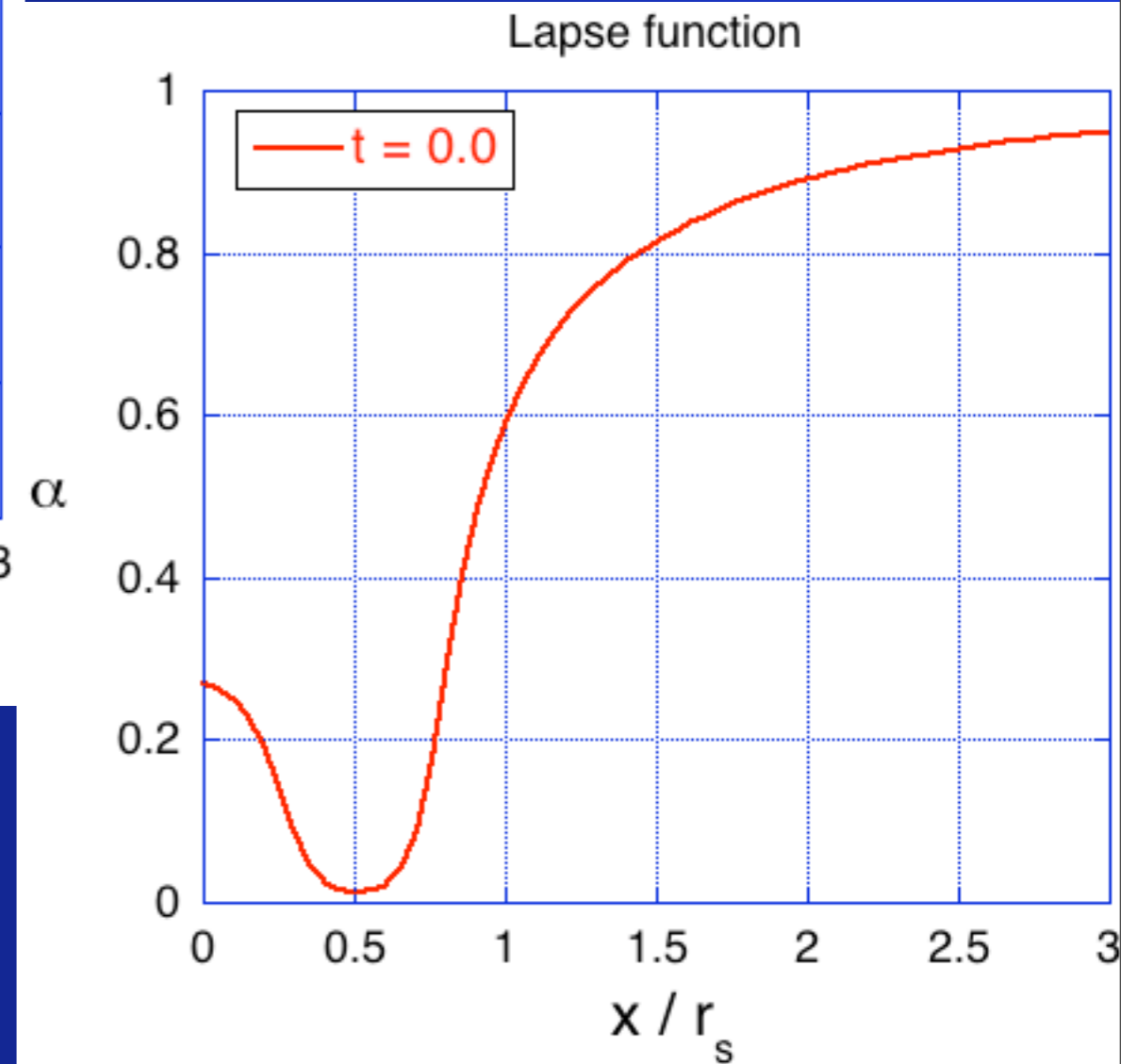
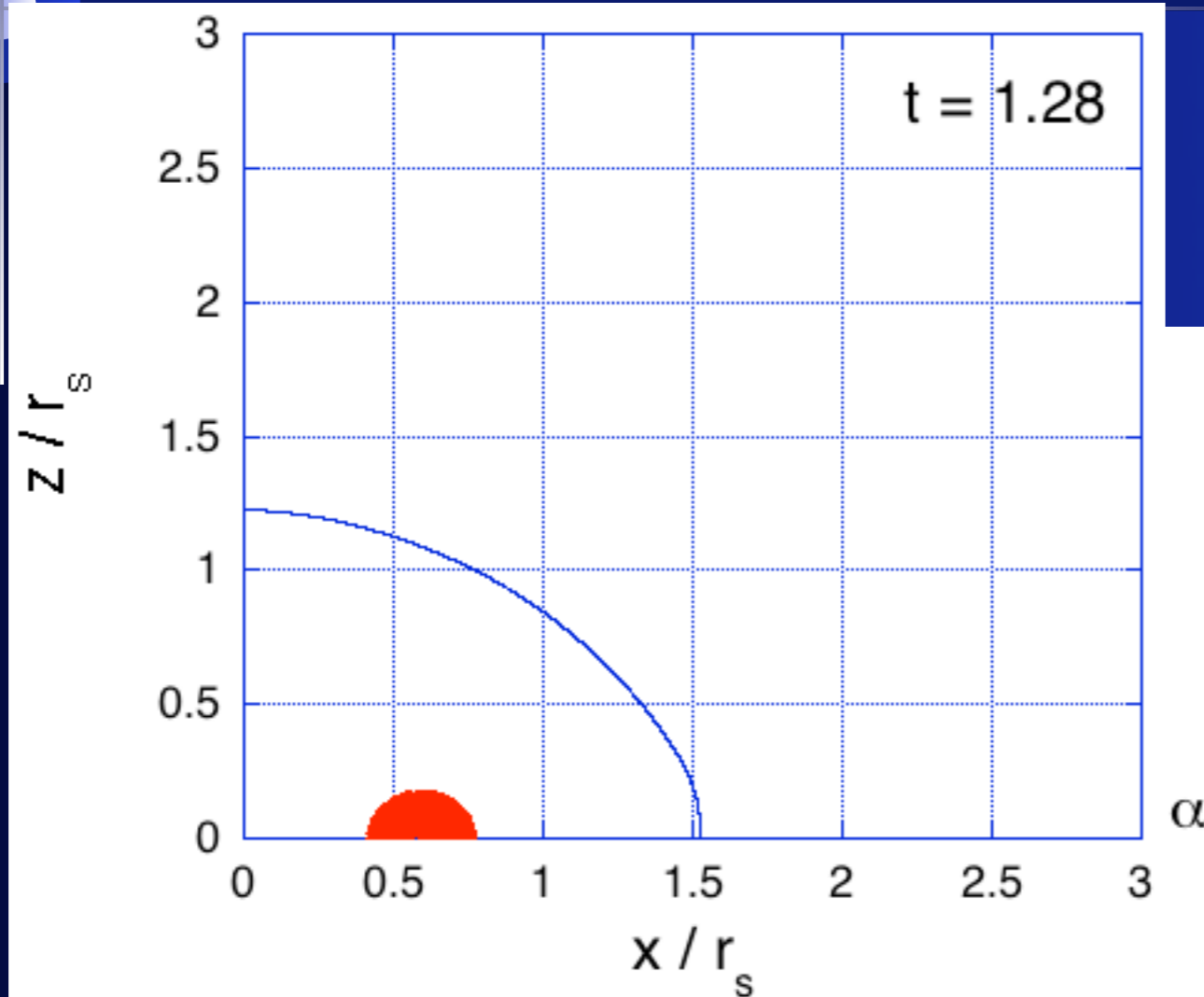
$t=0.2$  Common Horizon



# 3. Evolution (case I)

$t=0$  No Horizon

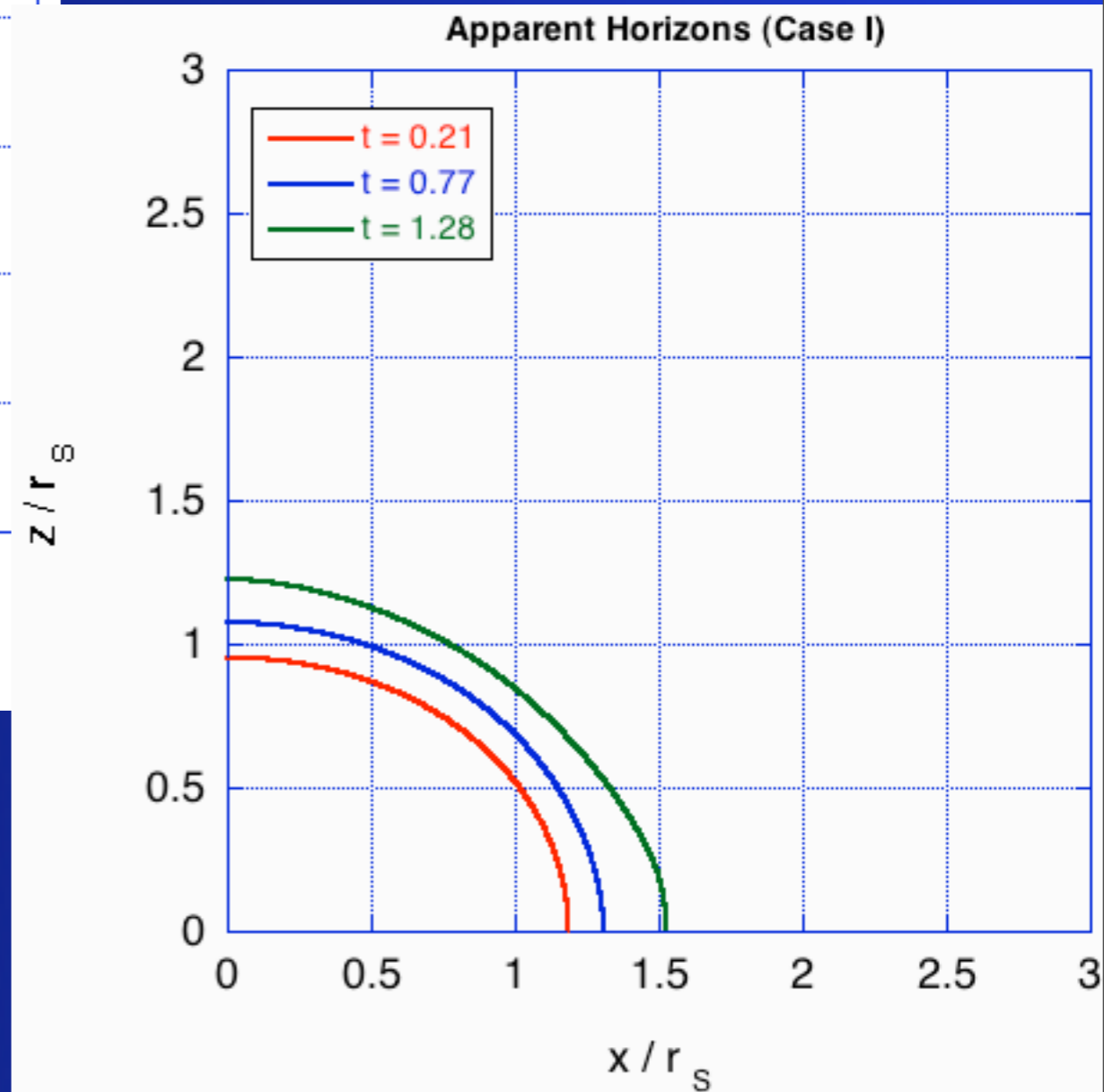
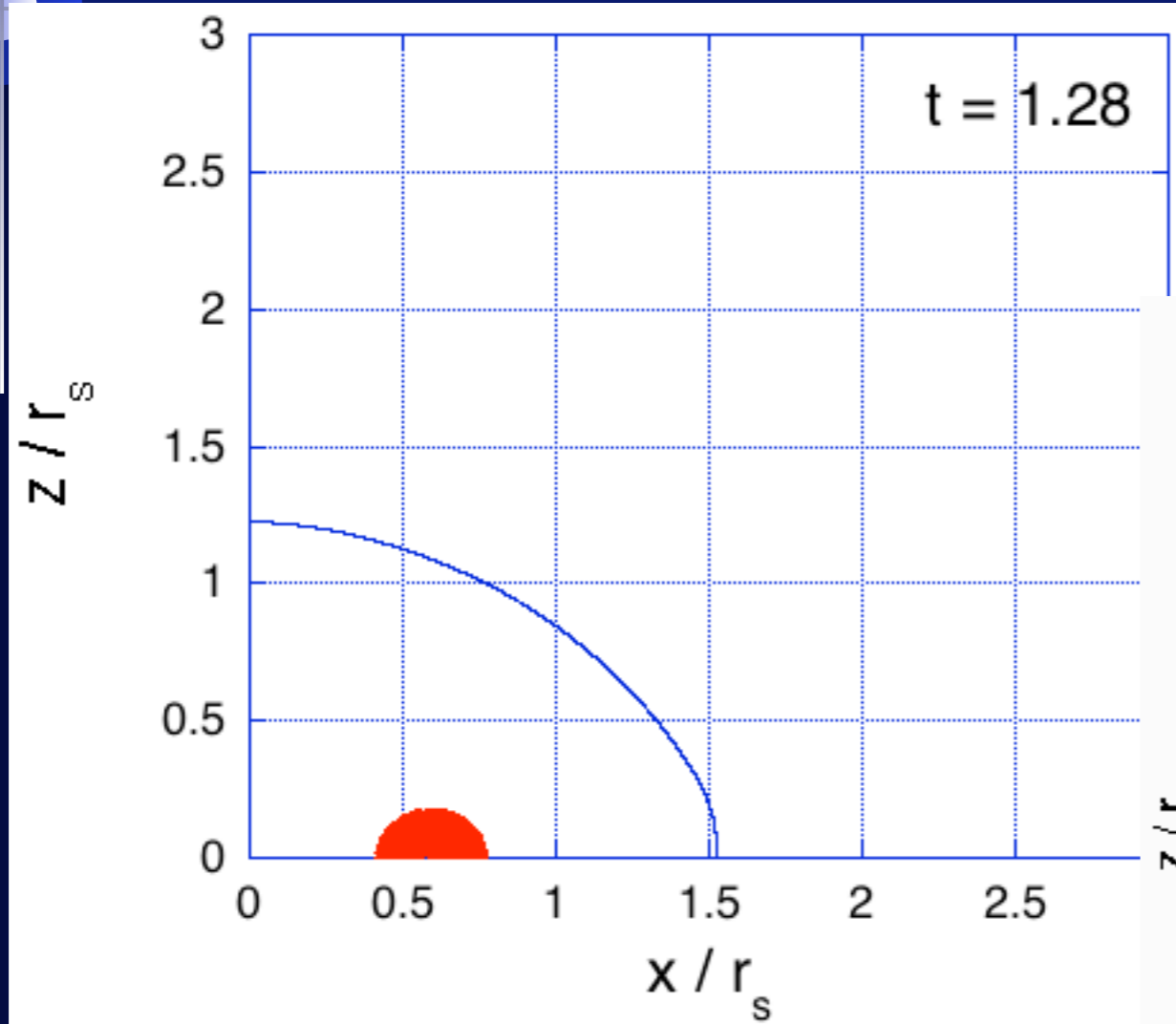
$t=0.2$  Common Horizon



# 3. Evolution (case I)

$t=0$  No Horizon

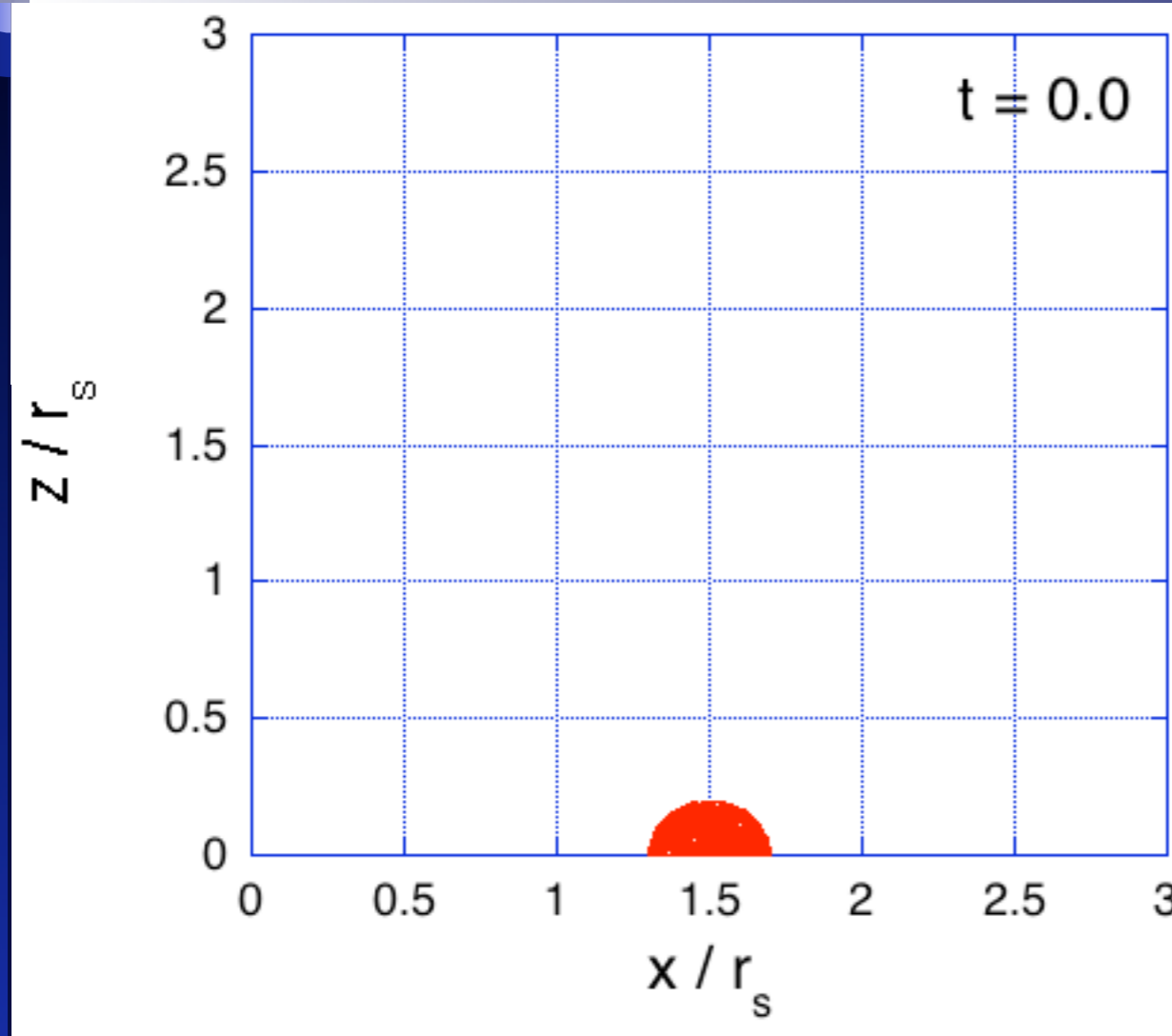
$t=0.2$  Common Horizon



### 3. Evolution (case II)

t=0

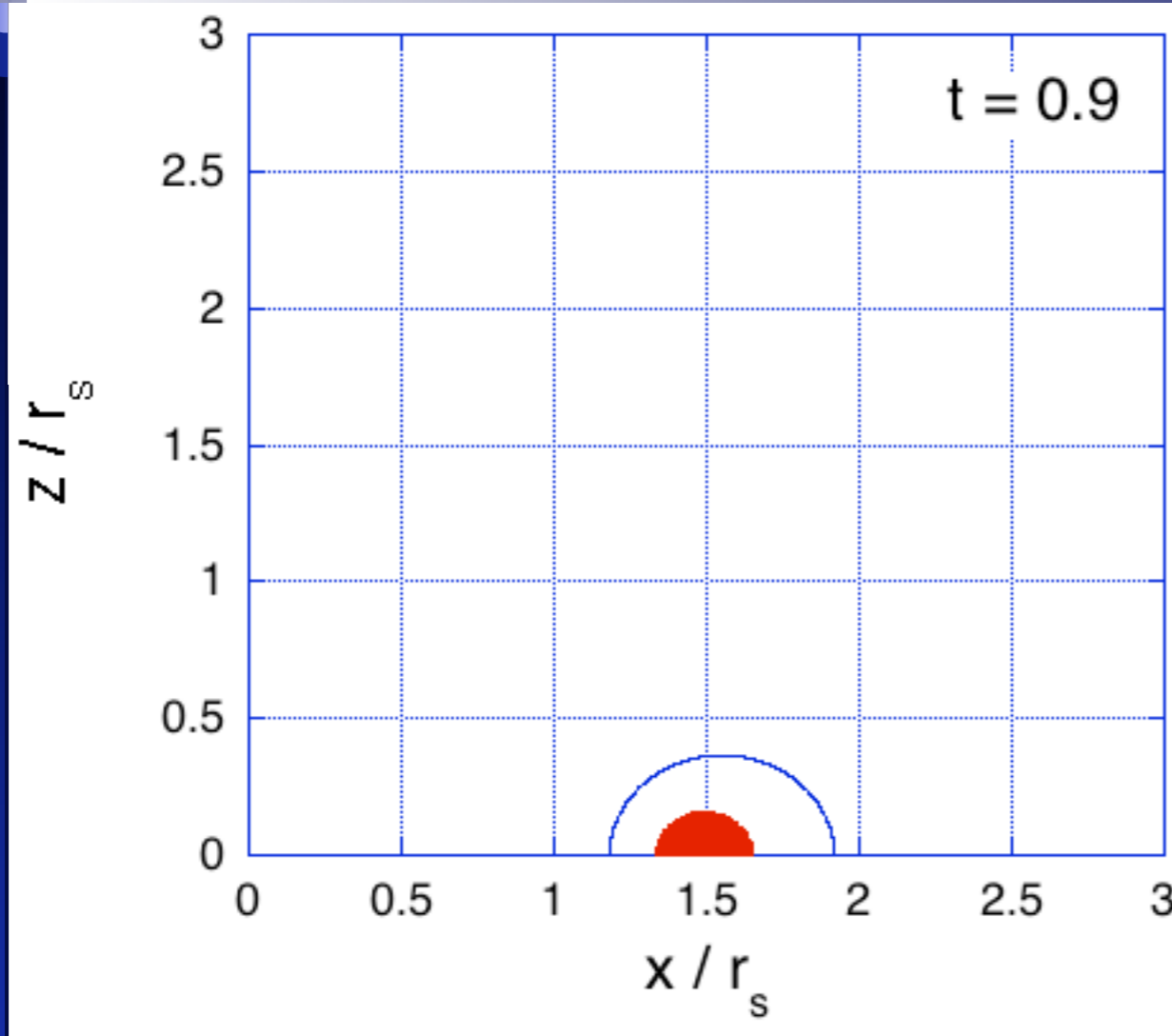
No Horizon



### 3. Evolution (case II)

$t=0$  No Horizon

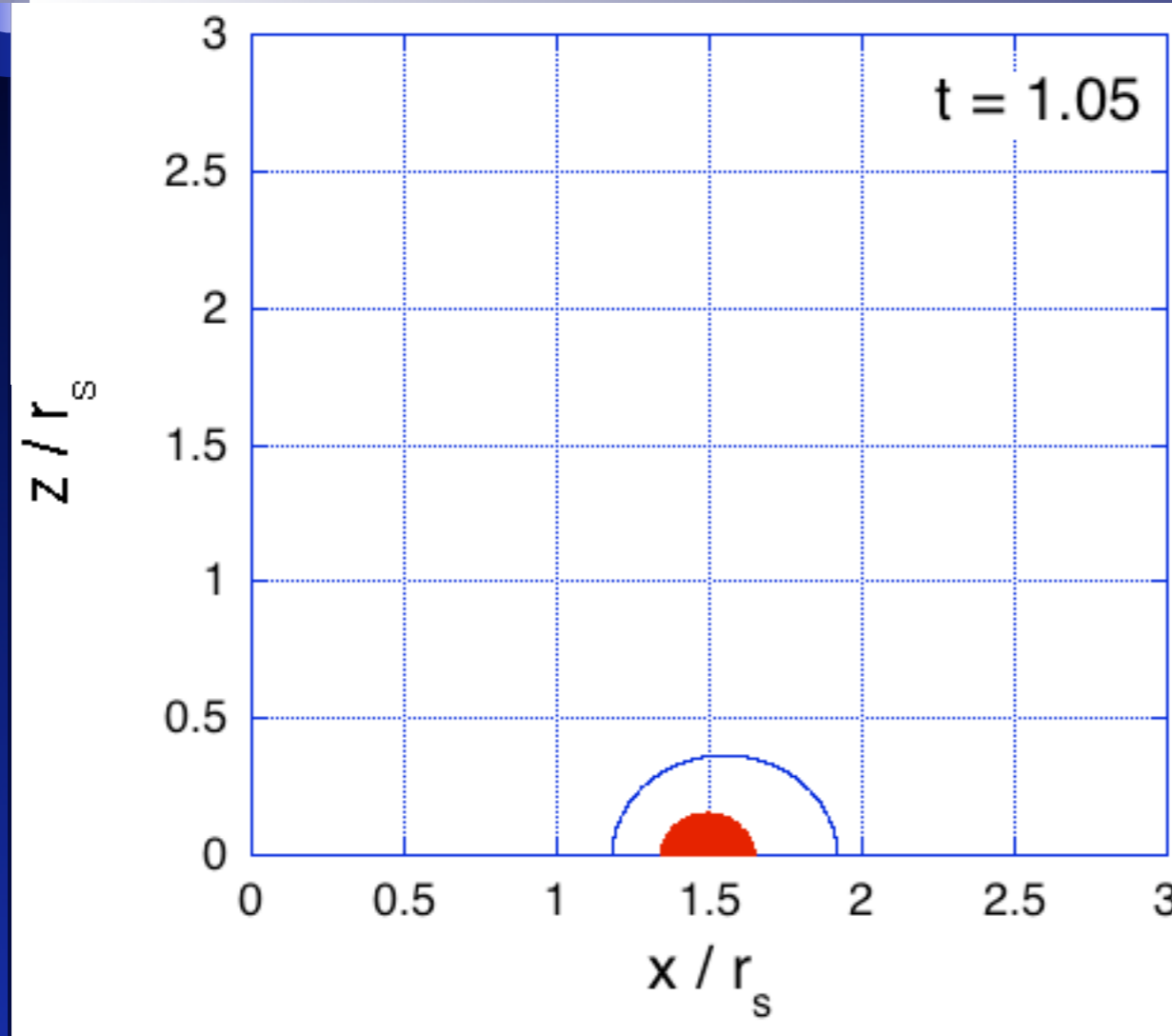
$t=0.9$  Ring Horizon



### 3. Evolution (case II)

$t=0$  No Horizon

$t=0.9$  Ring Horizon



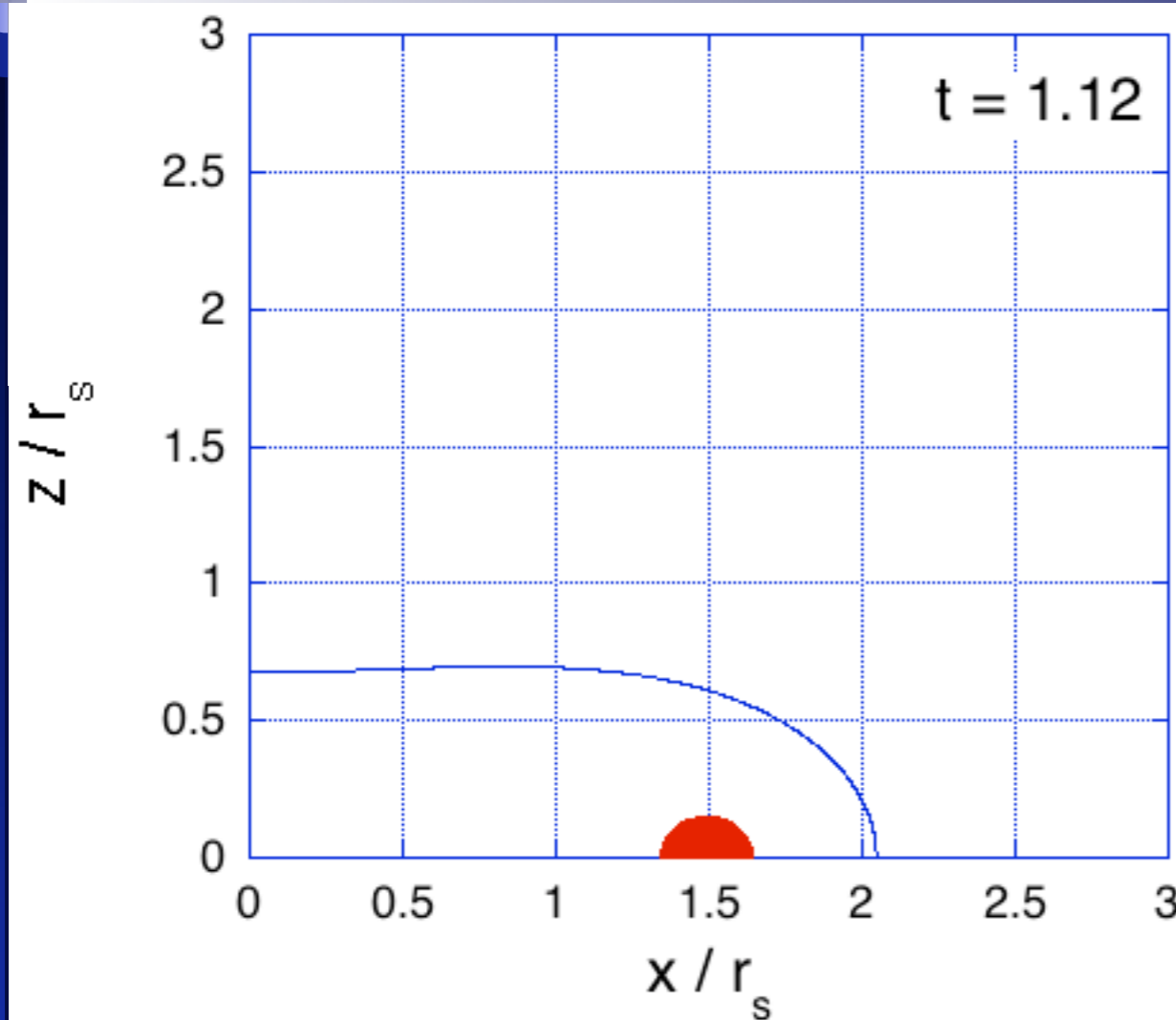


### 3. Evolution (case II)

$t=0$  No Horizon

$t=0.9$  Ring Horizon

$t=1.1$  Common Horizon

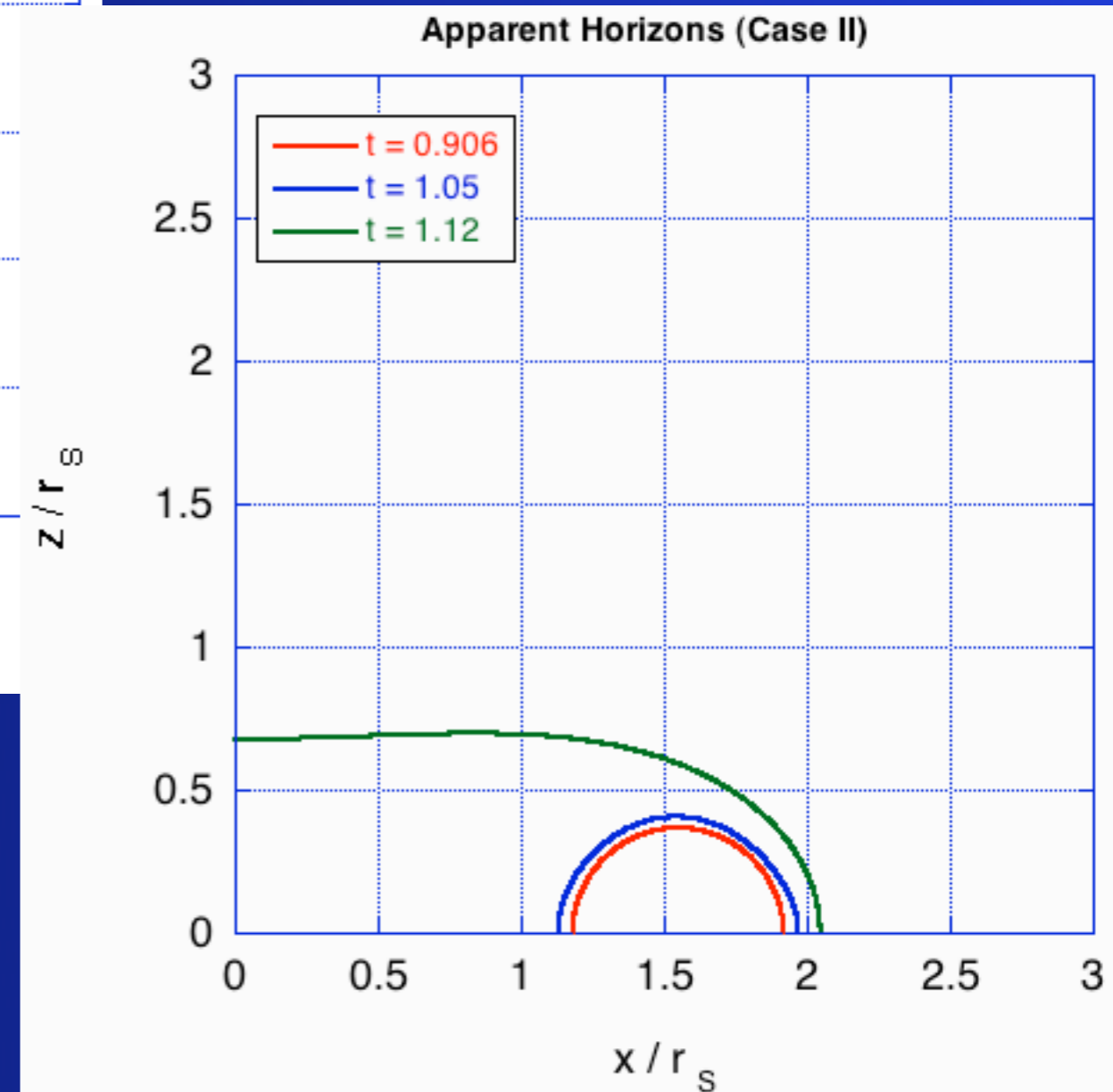
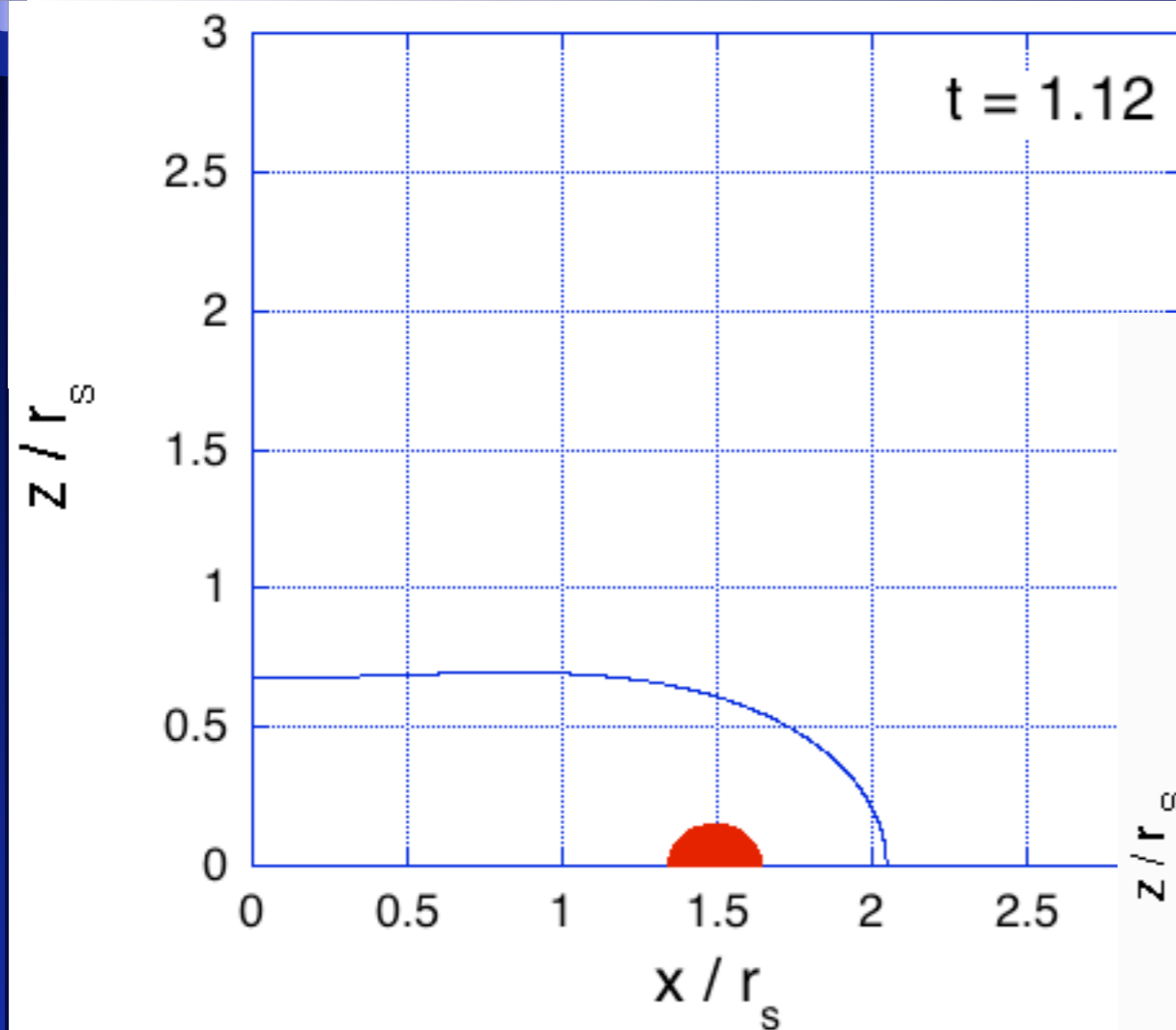


# 3. Evolution (case II)

$t=0$  No Horizon

$t=0.9$  Ring Horizon

$t=1.1$  Common Horizon

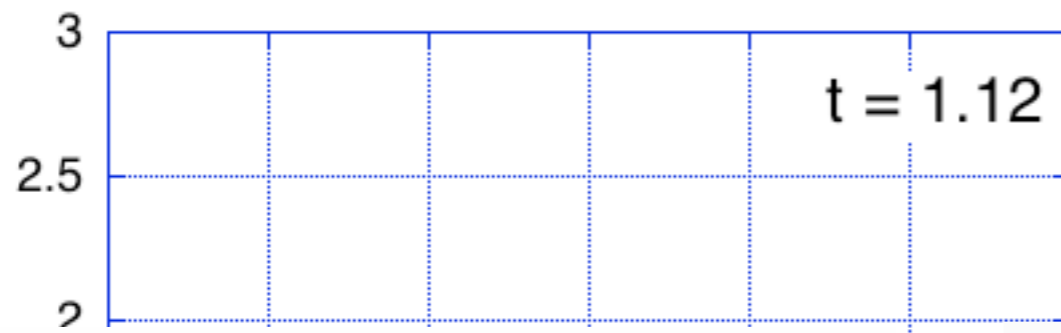


# 3. Evolution (case II)

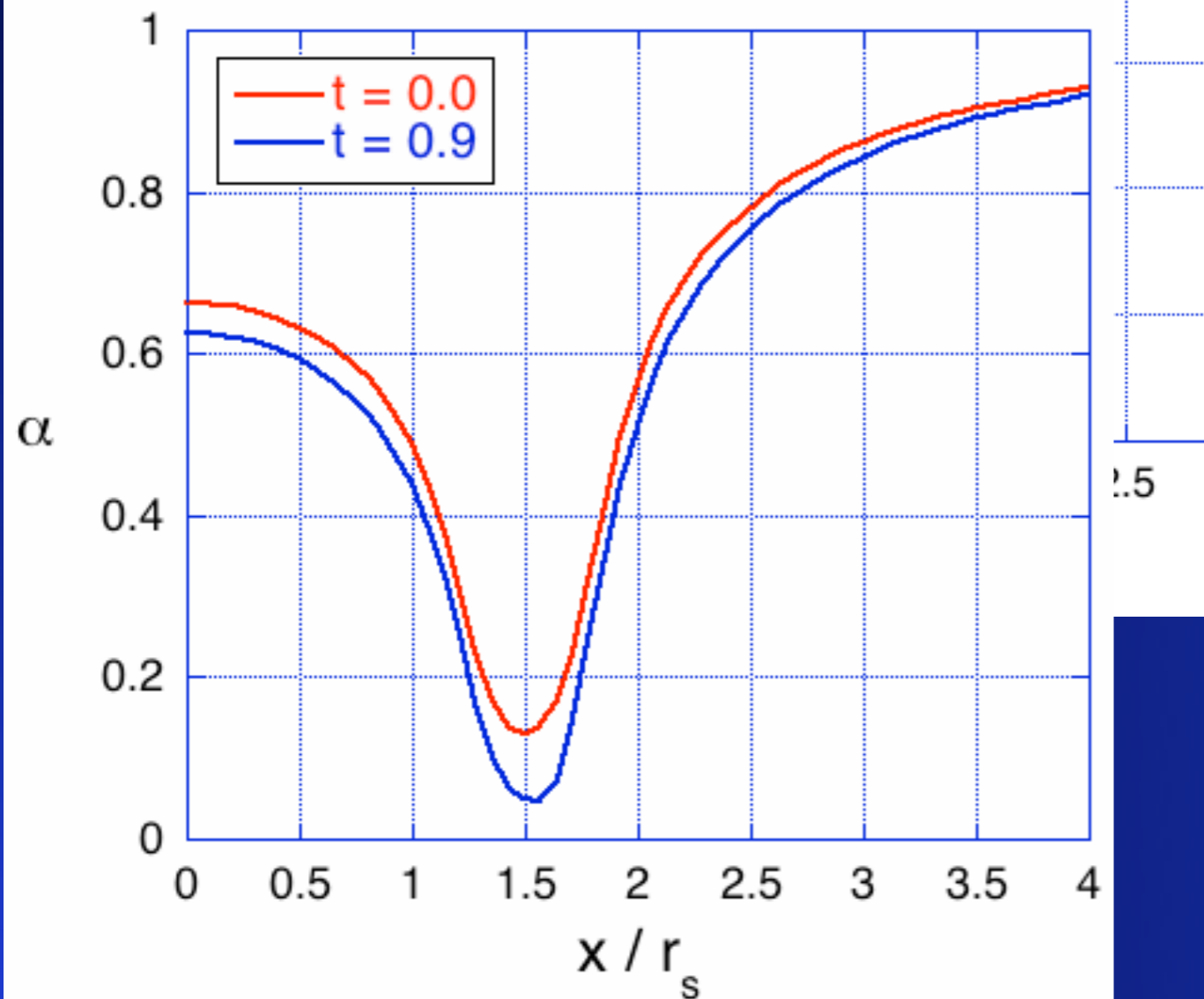
$t=0$  No Horizon

$t=0.9$  Ring Horizon

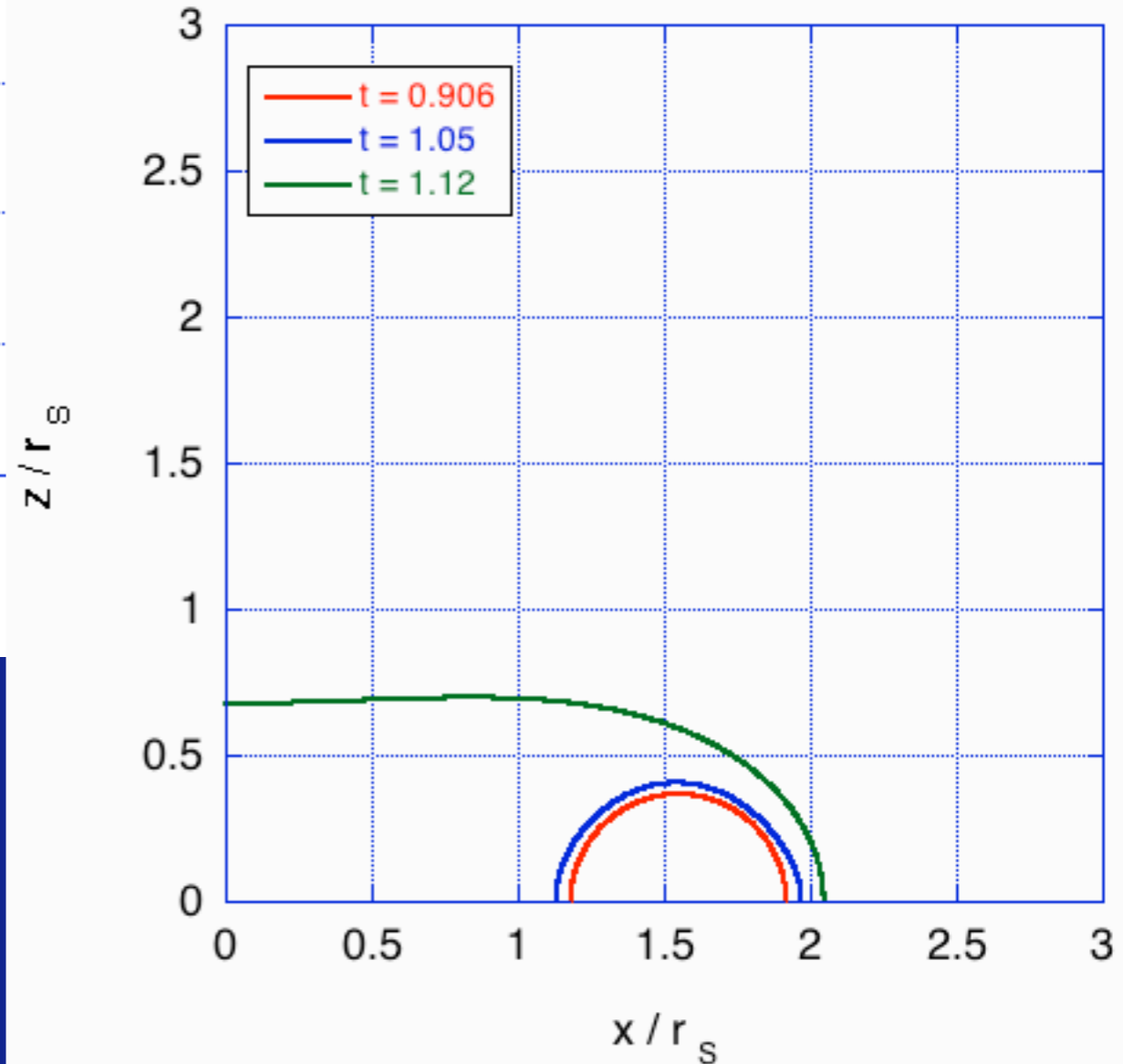
$t=1.1$  Common Horizon



Lapse function



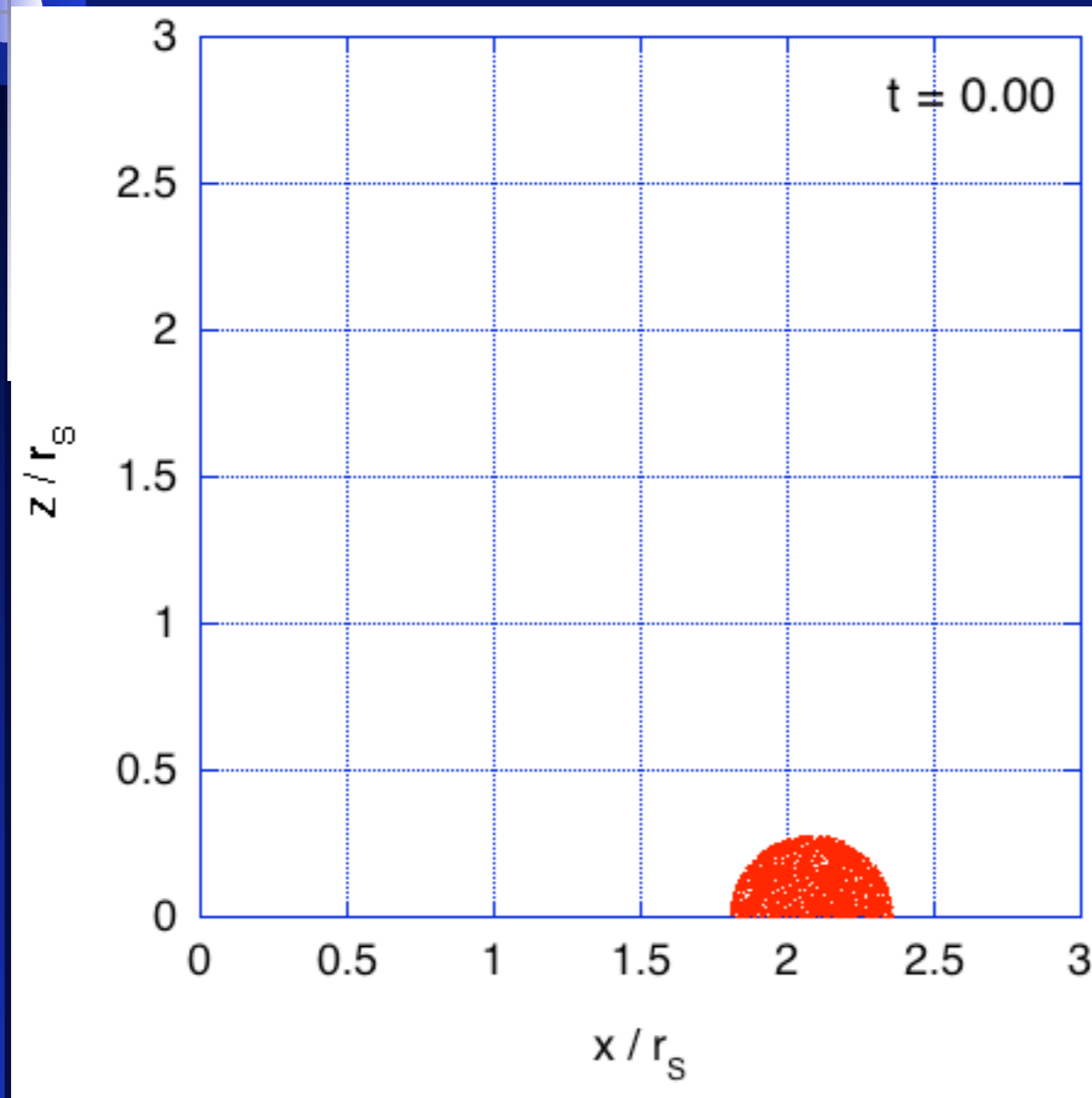
Apparent Horizons (Case II)



# 3. Evolution (case III)

$t=0$

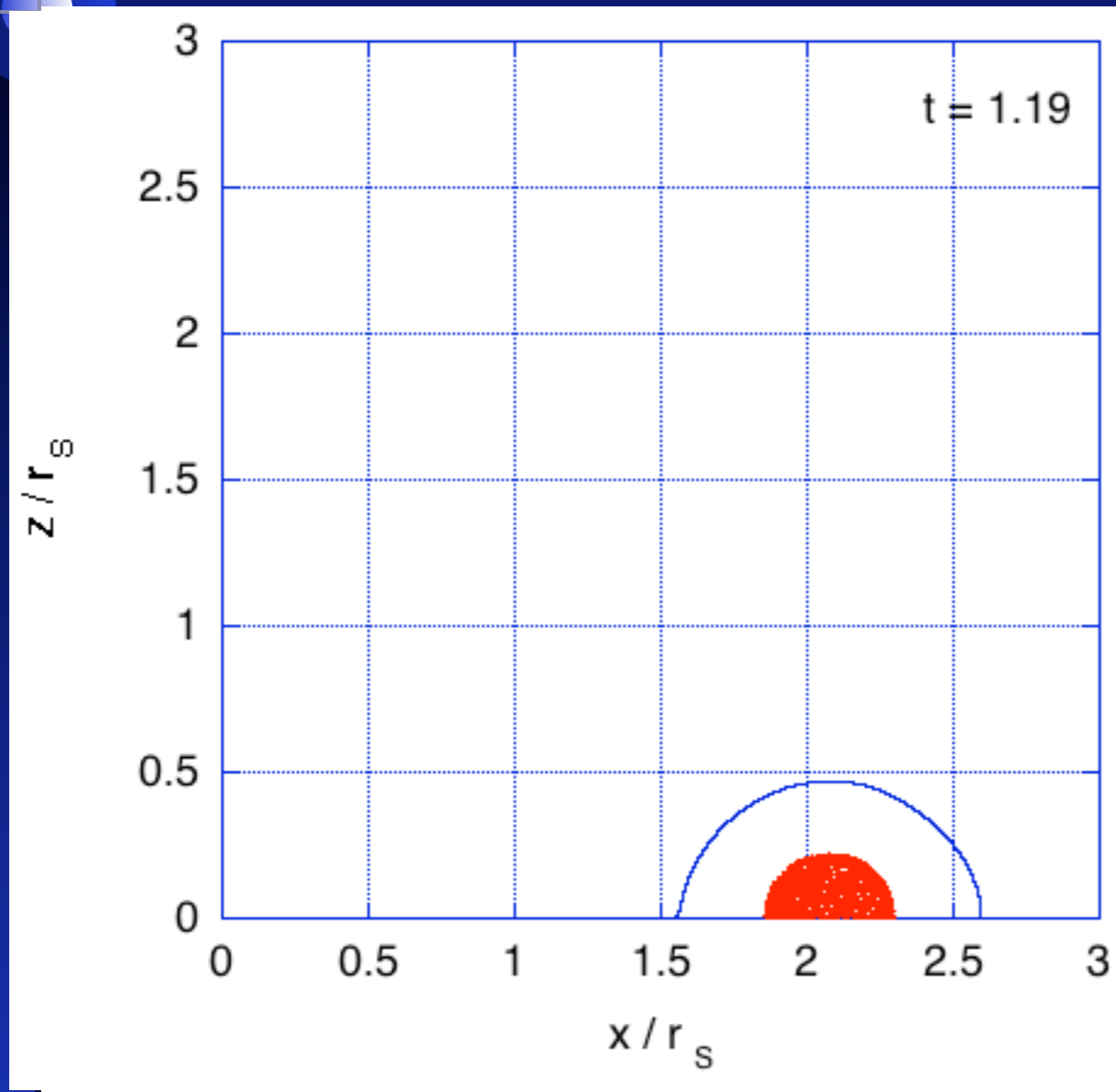
No Horizon



### 3. Evolution (case III)

$t=0$  No Horizon

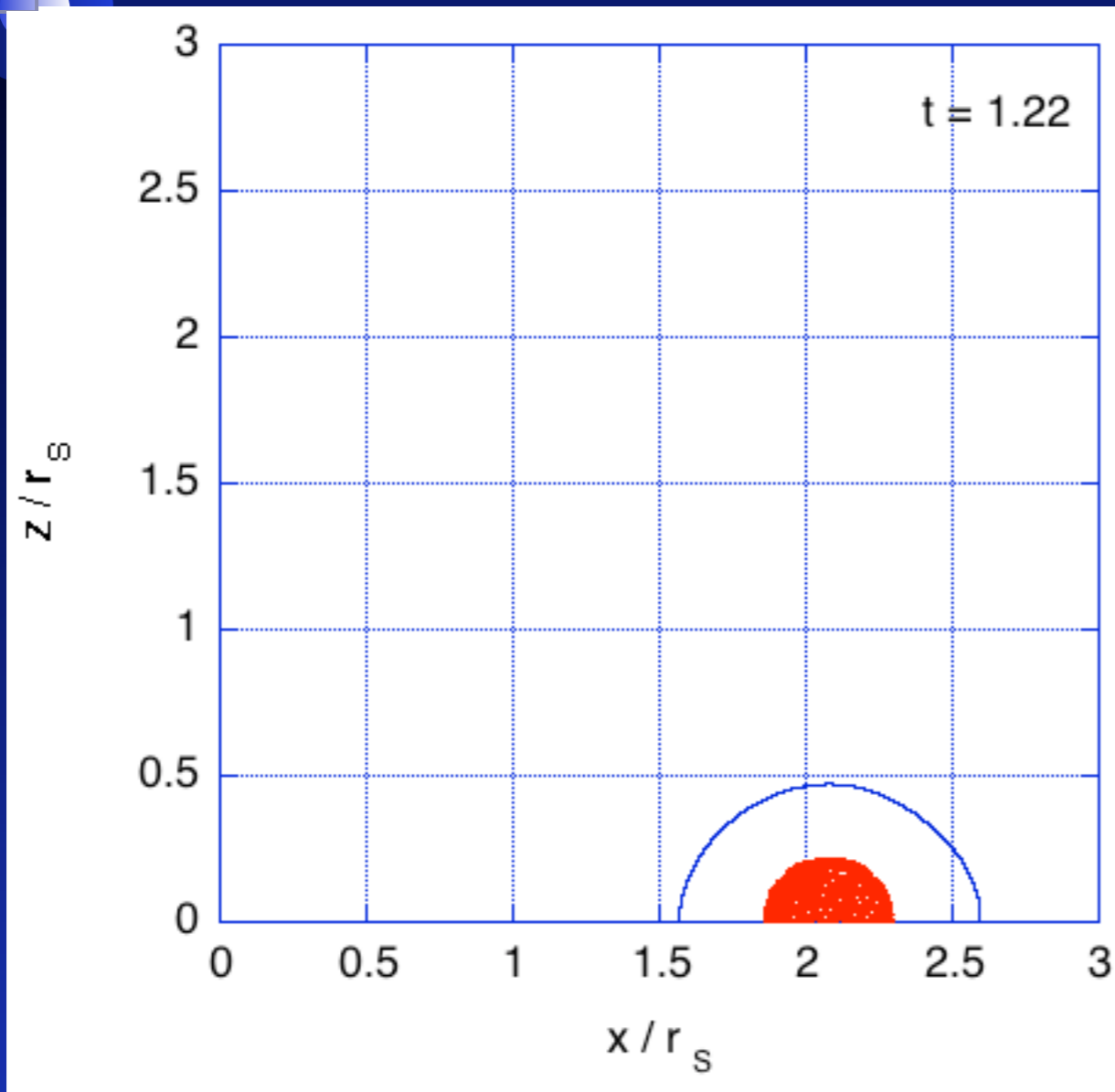
$t=1.19$  Ring Horizon



### 3. Evolution (case III)

$t=0$  No Horizon

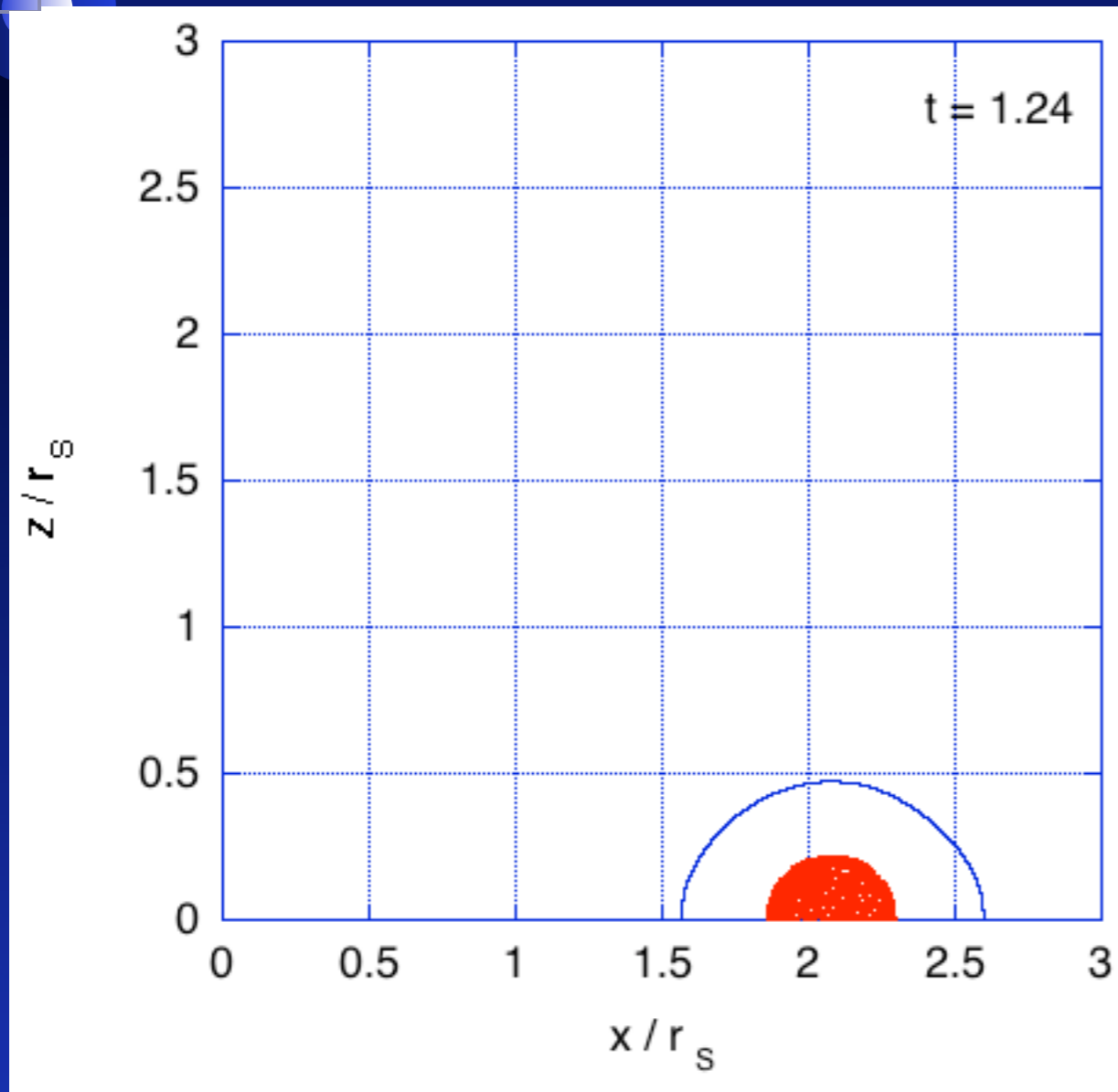
$t=1.19$  Ring Horizon



### 3. Evolution (case III)

$t=0$  No Horizon

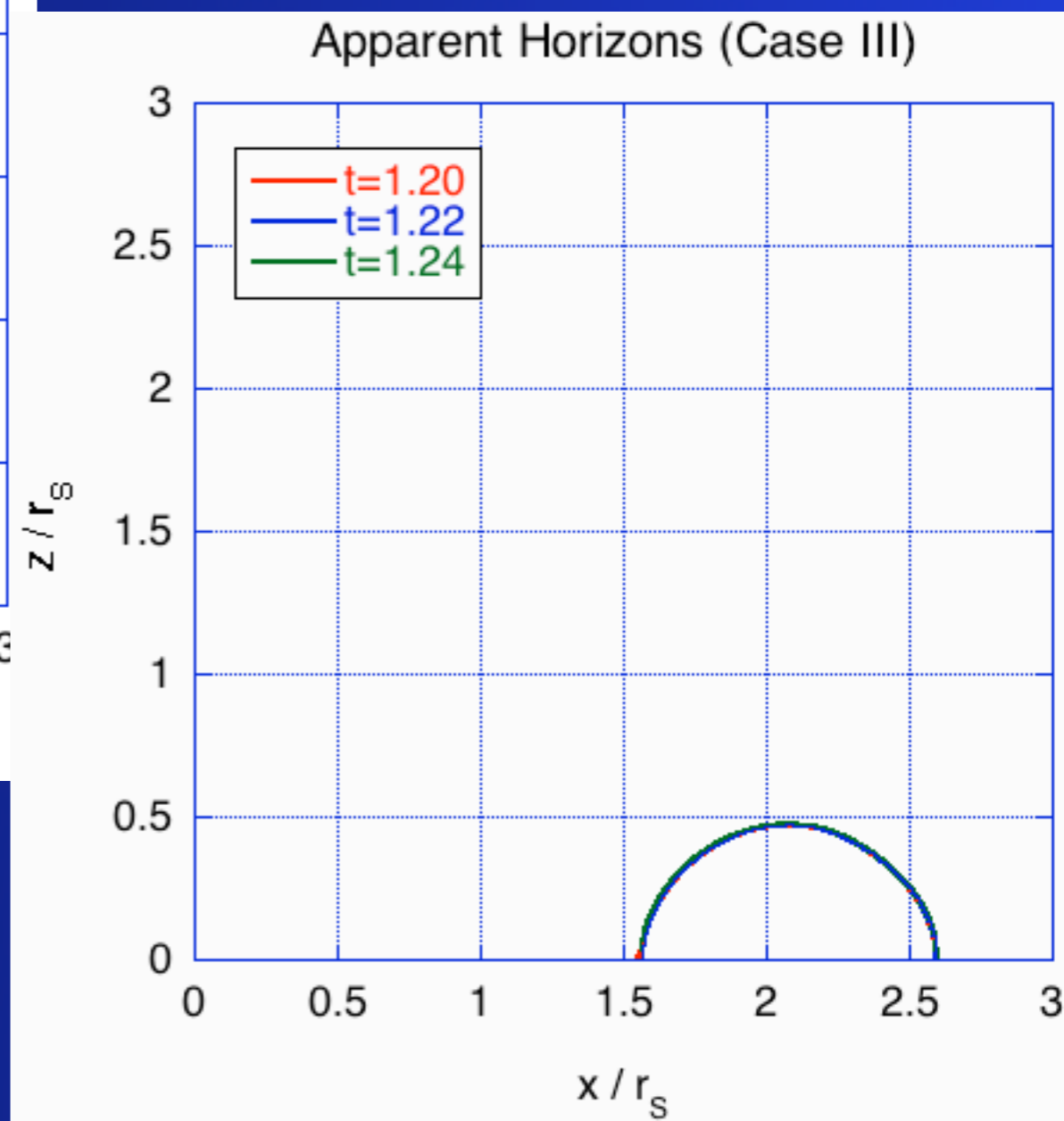
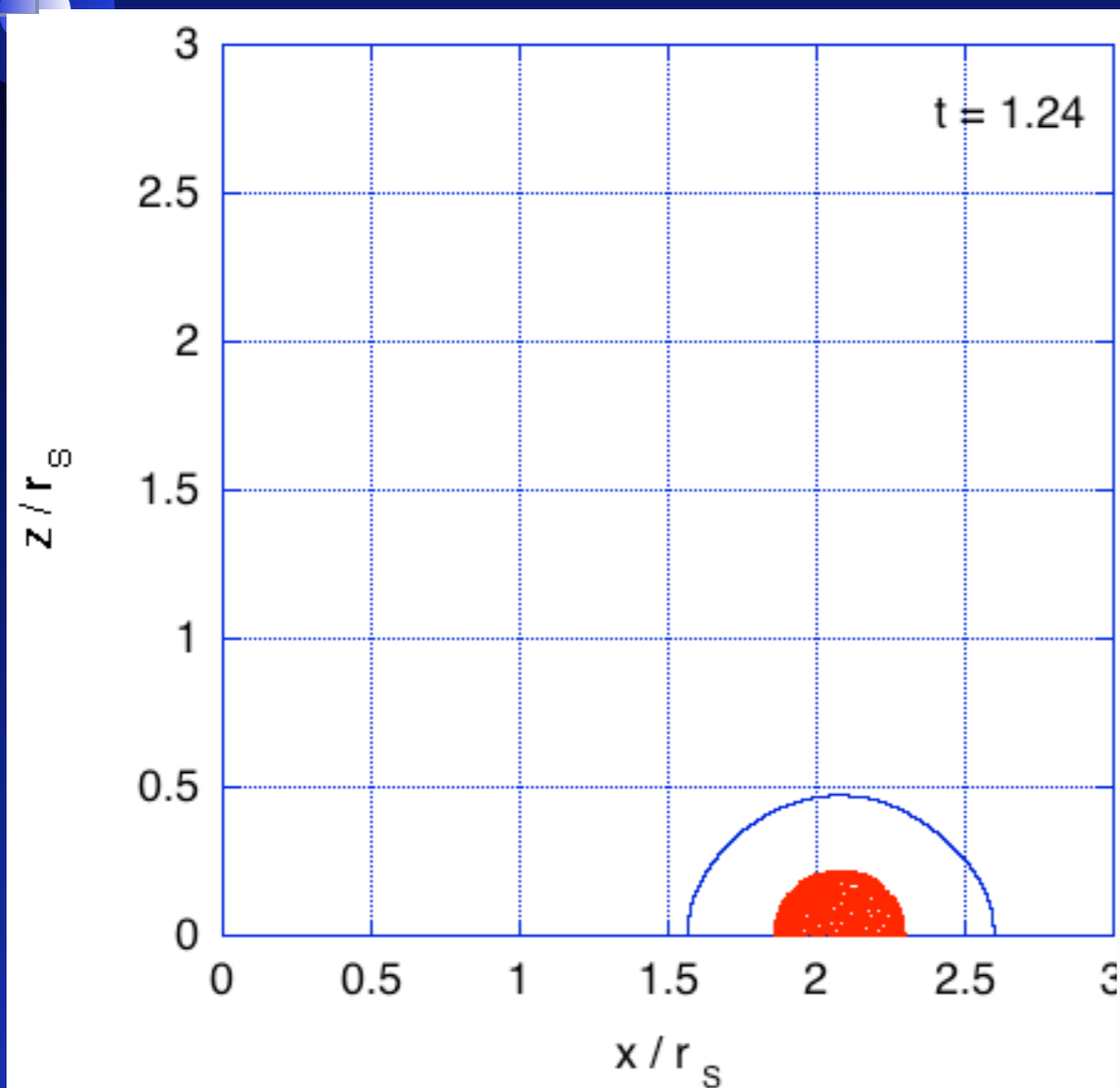
$t=1.19$  Ring Horizon



# 3. Evolution (case III)

$t=0$  No Horizon

$t=1.19$  Ring Horizon

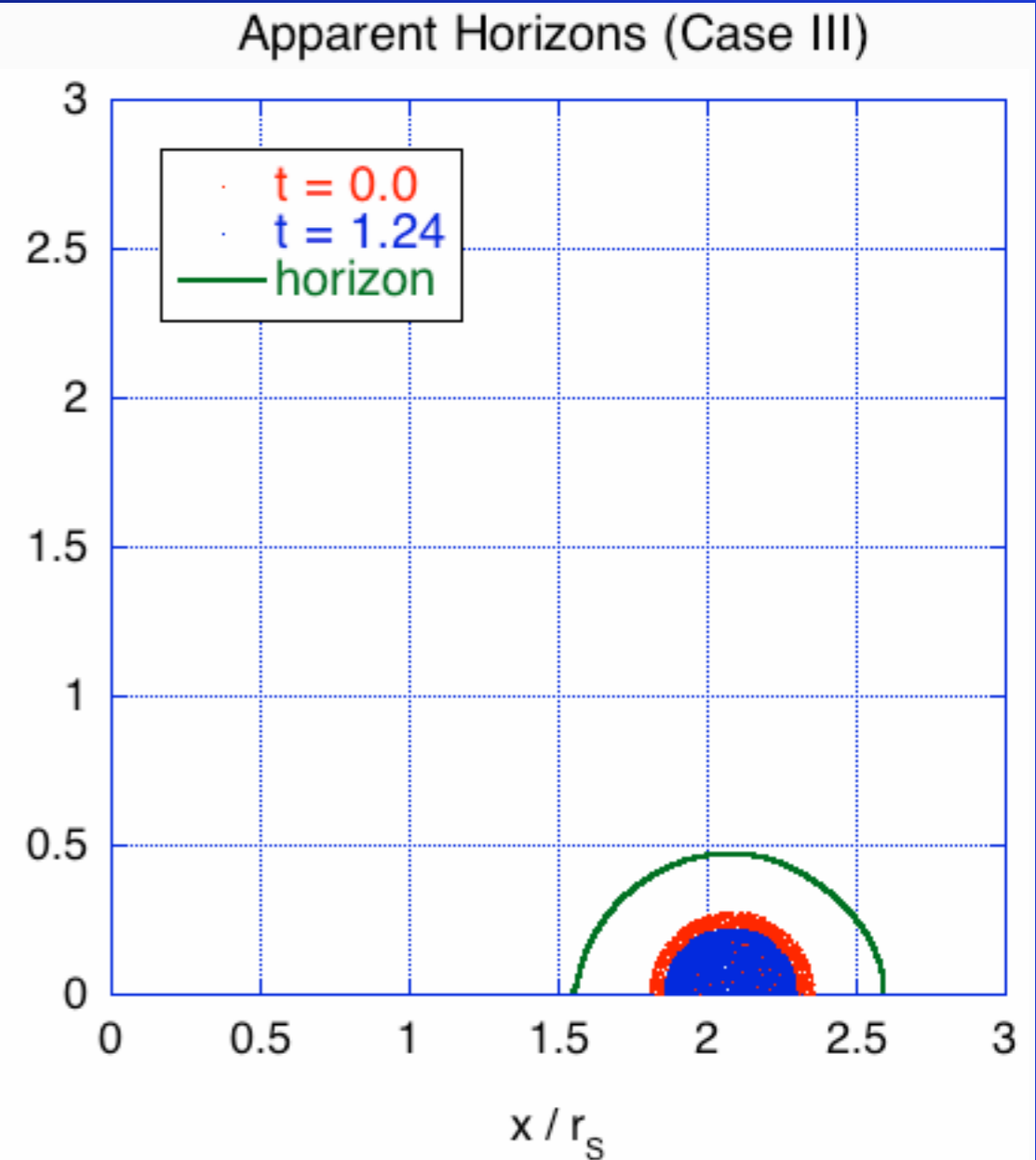
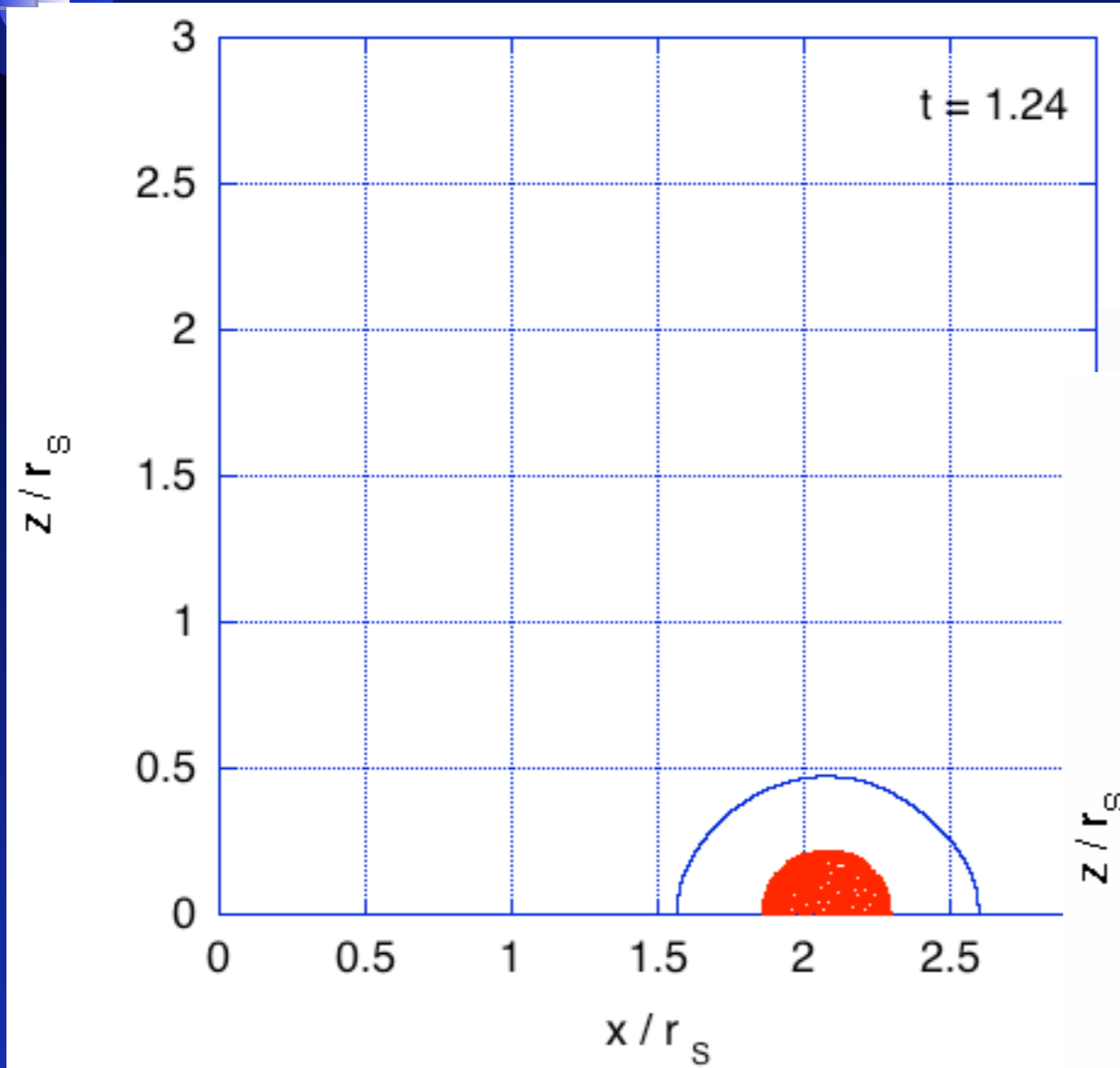




# 3. Evolution (case III)

$t=0$  No Horizon

$t=1.19$  Ring Horizon



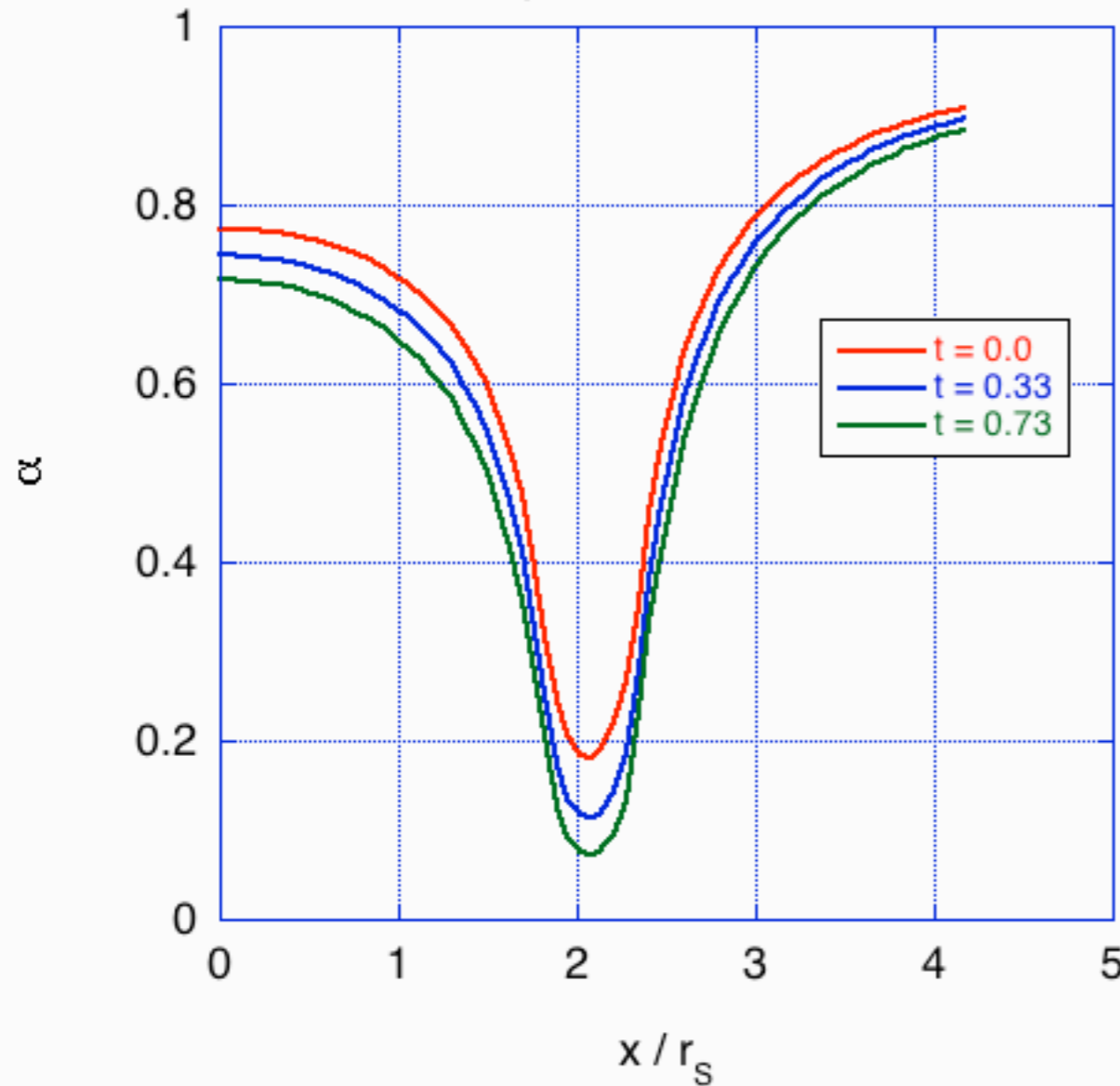
# 3. Evolution (case III)

$t=0$  No Horizon

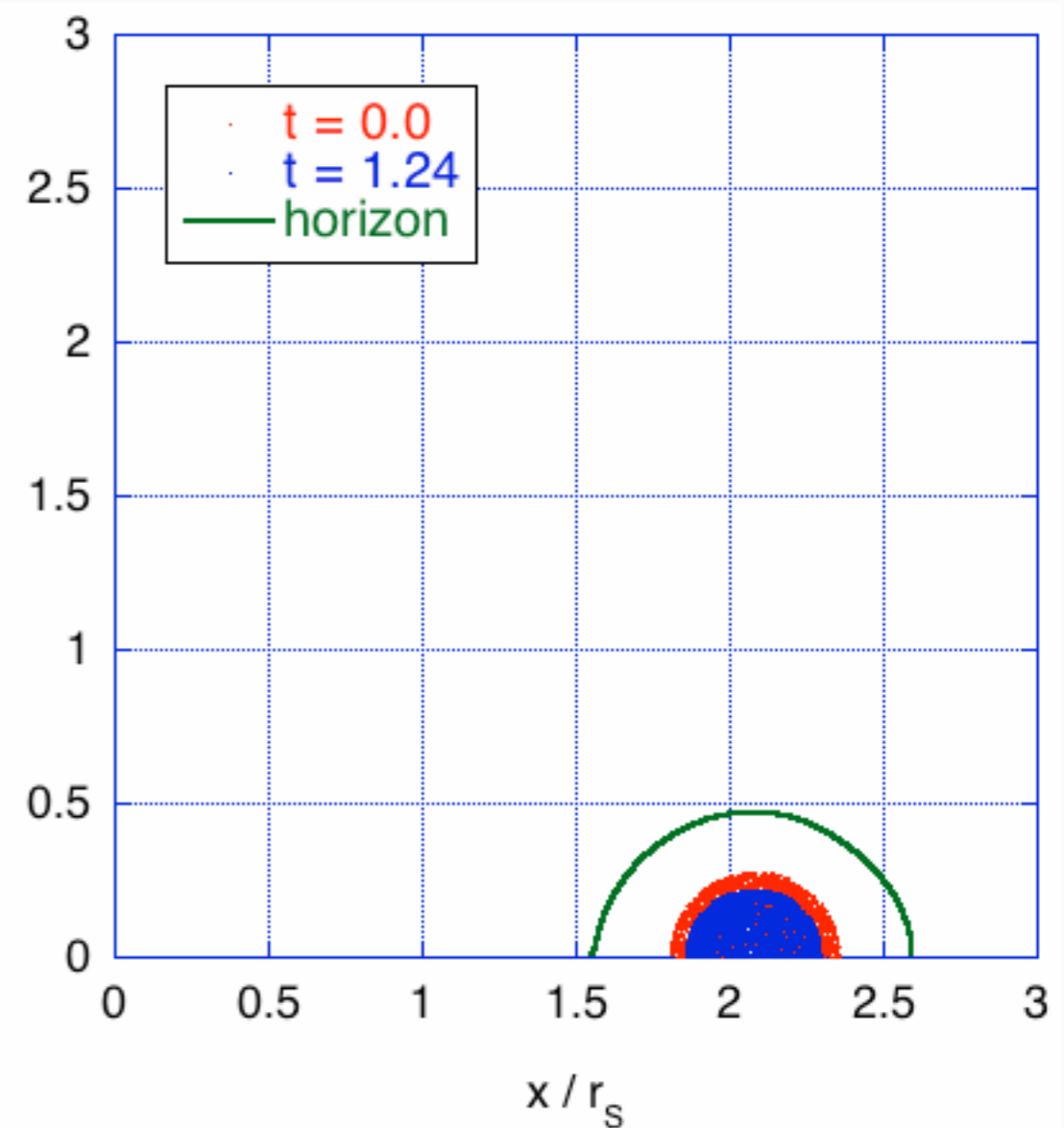
$t=1.19$  Ring Horizon



Lapse Function



Apparent Horizons (Case III)



# 4. *Summary and Future Plans*

## Towards Dynamics of 5-dim Black Objects

### Initial Data:

Topology of horizon changes with matter configurations

Hyper-Hoop prediction

works well for formations of spheroidal black holes

but not for rings.

### Evolution:



### Future Plans:

include rotation, change slicing conditions

search event horizon,

investigate the stability, formation/decay process,....